



Joyce W. Jones
Regulatory Licensing & Reporting
Telephone: (281) 800-6126
Email: joyce.jones@directenergy.com

December 3, 2020

New Hampshire Public Utilities Commission
Debra A. Howland, Executive Director
21 South Fruit Street, Suite 10
Concord, NH 03301-2428

Re: Electric Supplier License Renewal Applications, Direct Energy Services, LLC (DM 15-513)

Dear Ms. Howland,

Enclosed please find Direct Energy Services, LLC's ("DES") original license renewal application and attachments and two copies of this report in compliance with New Hampshire Code of Administrative Rules, Puc 2000, Puc 2003.02. I have also emailed a PDF version to Executive.Director@puc.nh.gov.

In compliance with Puc 2003.01(b)(2) a copy of the new Direct Energy Services, LLC Verification Certificate Bond 8219-02-25 is attached and included with the filing. We will FedEx the original bond to your office once we have obtained the Executive Signature. Due to COVID, the executive signature has been delayed as we are currently working from home.

Should you have any questions, or require any additional documentation, please do not hesitate to contact me or my Manager, Ryan Harwell (Ryan.Harwell@directenergy.com).

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Joyce Jones", written over a horizontal line.

Joyce W. Jones
Licensing & Reporting Analyst

enclosures



Competitive Electric Power Supplier Application Form

This form may be used to: (1) apply for initial registration as a competitive electric power supplier (CEPS) in New Hampshire, (2) apply for renewal of registration as a CEPS in New Hampshire, and (3) notify the Commission of any changes to information in a previously filed CEPS application. *This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.01 when applying for initial or renewal registration as a CEPS, but you are not required to use this form when doing so.*

Indicate whether this application is for an initial registration or for a renewal. Initial <input type="checkbox"/> Renewal <input checked="" type="checkbox"/>		
Applicant's General Information		
Puc 2006.01(a)	Legal Name	Direct Energy Services, LLC
	Trade Name (d/b/a) (if applicable)	d/b/a First Choice Power
Puc 2006.01(b)	Business Mailing Address	12 Greenway Plaza, Suite 250 Houston, TX 77046
	Telephone Number	713-877-3500
	E-Mail Address	customerrelations@directenergy.com
	Website Address	www.directenergy.com
Puc 2006.01(c)	Provide the state or jurisdiction of organization, if anything other than an individual.	
Puc 2006.01(d)	Provide the name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual, or of the applicant's principal(s) 1 if it is anything other than an individual. Use additional sheets as needed.	
	Name	Please see Attachment A
	Title	
	Business Mailing Address	
	Telephone Number	- -
	E-Mail Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	Email Address	
	Name	
	Title	
	Business Mailing Address	
	Telephone Number	- -
	E-Mail Address	

1 "Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



Affiliates and Subsidiaries	
Puc 2006.01(e)	Provide the following information regarding any affiliates ² and subsidiaries of the applicant that are conducting business in New Hampshire. Use additional sheets as needed.
	Name of Entity
	DIRECT ENERGY BUSINESS, LLC
	Business Address
	1001 Liberty Avenue
	Pittsburgh, PA 15222
	Telephone Number
	800-437-7265
	Provide a description of the business purpose of the entity. RETAIL ENERGY SERVICE PROVIDER
Provide a description of any agreements with any affiliated New Hampshire utility, and the docket number relative to the filing of any such agreements with the Commission.	
Name of Entity	DIRECT ENERGY BUSINESS MARKETING, LLC
Business Address	194 Wood Ave South, Suite 200
Iselin, NJ 08830	
Telephone Number	732-516-7500
Provide a description of the business purpose of the entity. RETAIL ENERGY SERVICE PROVIDER	
Provide a description of any agreements with any affiliated New Hampshire utility, and the docket number relative to the filing of any such agreements with the Commission.	

² "Affiliate" means any of the following:

- (a) Any person or entity that directly or indirectly owns, controls, or holds with power to vote a majority of the outstanding voting securities or such minority thereof as to give such person substantial control of another person or entity;
- (b) Any person or entity that is directly or indirectly owned, controlled, or held by any person or entity described in (a) above through either power to vote a majority of the outstanding voting securities or such a minority so as to maintain substantial control of such person or entity;
- (c) Any person or entity with which another person or entity has a management or service contract or arrangement that provides such person or entity with effective control over the management, supervision, or operation of the other person or entity; or
- (d) Any person or entity who or which actually exercises effective control over the management, supervision, or operation of another person or entity.



Customer Service Department Contact		
Puc 2006.01(f)	Name	Customer Service
	Title	
	Toll-Free Telephone Number (if available)	866-348-4194
	Telephone Number	866-348-4194
	E-Mail Address	csdirectenergy@directenergy.com

Customer Complaints Contact		
Puc 2006.01(g)(1)	Name	Paige Harris
	Title	Customer Solutions Manager
	Business Mailing Address	6502 S Yale Avenue, Suite 300 Tulsa, OK 74136
	Telephone Number	844-898-8752
	E-Mail Address	paige.harris@directenergy.com

Regulatory Compliance Matters Contact		
Puc 2006.01(g)(2)	Name	Marc Hanks
	Title	Sr Manager, Government and Regulatory Affairs
	Business Mailing Address	24 Gary Dr Westfield, MA 01085
	Telephone Number	413-642-3575
	E-Mail Address	marc.hanks@directenergy.com

Commission Assessment Payments Contact		
Puc 2006.01(g)(3)	Name	Joyce W. Jones
	Title	Regulatory Licensing & Reporting Analyst
	Business Mailing Address	12 Greenway Plaza, Suite 25 Houston, TX 77046
	Telephone Number	281-800-6126
	E-Mail Address	joyce.jones@directenergy.com



Separate Attachments: Business Authority, Trade Name, ISO-NE Market Participation, and EDI Certification	
Puc 2006.01(h)	<p>Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following:</p> <p>(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or Please see Attachment B</p> <p>(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. Please see Attachment B</p>
Puc 2006.01(i)	<p>Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following:</p> <p>(1) A recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or Please see Attachment C</p> <p>(2) A copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name. Please see Attachment C</p>
Puc 2006.01(j)	<p>Provide, as a separate attachment, evidence of the applicant's ISO New England market participant membership. Please see Attachment D</p>
Puc 2006.01(k)	<p>Provide, as a separate attachment, electronic data interchange (EDI) certification from each electric distribution utility in whose franchise area the applicant intends to operate. Please see Attachment E</p>

Franchise Areas, Customer Types to be Served, and Other States	
Puc 2006.01(l)	<p>List the utility franchise areas in which the applicant intends to operate and, to the extent the applicant does not intend to provide service in the entire franchise area of a utility, a delineation of the cities and towns where the applicant intends to provide service within each utility franchise area.</p> <p>Direct Energy Services, LLC intends to provide electric supply services in the Eversource Energy service area.</p>
Puc 2006.01(m)	<p>Provide a statement whether or not the applicant intends to serve the following types of customers: residential, small commercial, large commercial, and industrial.</p> <p>Direct Energy Services, LLC intends to serve residential and small commercial customers.</p>
Puc 2006.01(n)	<p>List the other states or jurisdictions in which the applicant currently conducts business relating to the sale of electricity.</p> <p>Please see Attachment F</p>



Customer Complaints	
Puc 2006.01(o)	<p>Use either the table below or a separate attachment to provide a list disclosing the number and type of customer complaints concerning the applicant or its principals and affiliates filed with or by any commission or regulatory agency, attorney general's office, or other governmental consumer protection regulatory authority, for the most recent 2 calendar years in every state or other jurisdiction in which the applicant has conducted business relating to the sale of electricity.</p> <p>In the table below, enter abbreviations of applicable states or the jurisdiction across the top row, complaint types in the left column, and, for each cell in the table, the number of complaints for each type within the applicable state or jurisdiction.</p>

Please see Attachment G

(enter applicable states/jurisdictions in row just below)											
Complaint Type											Total
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
											0
Total	0	0	0	0	0	0	0	0	0	0	0



Statements Regarding Applicant and its Principals		
Respond to each of the following questions with either "Yes" or "No."		
Puc 2006.01(p)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No
Puc 2006.01(p)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No
Puc 2006.01(p)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No
Puc 2006.01(p)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	Yes
Puc 2006.01(p)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No
Puc 2006.01(q)	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed. Please see Attachment H	

Telemarketing		
Puc 2006.01(s)	Does the applicant intend to telemarket? Respond with either "Yes" or "No."	Yes
If the response to the question above is "Yes," then respond to the following three questions:		
Puc 2006.01(t)(1)	Will the applicant maintain a list of customers who request being placed on the applicant's do-no-call list for the purposes of telemarketing?	Yes
Puc 2006.01(t)(2)	Will the applicant obtain monthly updated do-no-call lists from the National Do Not Call Registry?	Yes
Puc 2006.01(t)(3)	Will the applicant NOT initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or who are listed on the National Do Not Call Registry?	Yes

In-Person Solicitation of Residential Customers		
Puc 2006.01(u)	Does the applicant intend to enroll residential customers through in-person solicitation at the customer's residence? Respond with either "Yes" or "No." See Attachment I	No
If the response to the question above is "Yes," then provide the following items as separate attachments:		
Puc 2006.01(v)(1)	A plan for in-person solicitation of residential customers at their residences, including provisions to ensure legal and regulatory compliance and quality assurance. n/a	
Puc 2006.01(v)(2)	A description of the applicant's training program for employees or representatives who will conduct in-person solicitation of residential customers at their residences. n/a	
Puc 2006.01(v)(3)	An identification of any third party vendor or vendors the applicant intends to use to conduct in-person solicitation of residential customers at their residences. n/a	
Puc 2006.01(v)(4)	A copy of the applicant's performance standards and code of conduct for any employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences. n/a	
Puc 2006.01(v)(5)	A copy of field audit standards used to evaluate the performance of employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences. n/a	



Sample Bill Form		
Puc 2006.01(v)	Does the applicant intend to use only the utility's billing service? Respond with either "Yes" or "No."	Yes
Puc 2006.01(v)	If the response to the question above is "No," then provide a sample of the bill form(s) the applicant intends to use as a separate attachment. n/a	

Residential and Small Commercial Customer Contracts		
Puc 2006.01(w)	Does the applicant intend to serve residential and small commercial customers? Respond with either "Yes" or "No." Please see Attachment J	YES
If the response to the question above is "Yes," then provide the following item as a separate attachment:		
Puc 2006.01(w)	A copy of each contract to be used for residential customers and for small commercial customers, including any schedules or other documentation attached to, incorporated into, or referenced in such contract.	

File Financial Security Instrument		
Refer to Puc 2003.03 for the financial security requirements.		
Puc 2003.01(b)(2)	Provide an original executed financial security instrument that meets the requirements of Puc 2003.03. Please see Attachment K	
File the original, executed financial security instrument with the Executive Director. The financial security instrument can be filed separately from the application form, by U.S. mail, overnight express, or hand delivery.		

Submit Application Fee (For Initial Applications Only)		
Puc 2003.01(b)(3)	For an application for initial registration, submit payment of the application fee in the amount of \$250.00 to the Executive Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire Public Utilities Commission.	
Note that there is no fee for a renewal application.		

Expected Marketing Start Date		
Puc 2006.01(x)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire. Direct Energy Services, LLC is currently serving customers in NH	Date _____

Attestation and Signature		
Puc 2006.01(y) and (z)	<p>BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE CEPS AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.</p> <p>Please see Attestation and Signature Attached</p> <p>Signature of the applicant or its authorized representative _____</p> <p>Name: _____</p> <p>Title: _____</p>	Date _____

Filing Instructions		
<p>1) Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301</p> <p>2) E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov</p>		

Attachment A

Director and Officers Information

(Puc 2006.01(d))

Direct Energy Services, LLC

Current Appointments

Board Positions

Name and Email	Position	Business Address
John Schultz John.Schultz@directenergy.com	Director	194 Wood Ave S, 2 nd Floor Iselin, NJ 08830 732-516-2600
Bruce Stewart Bruce.Stewart@directenergy.com	Director	12 Greenway Plaza, Ste 250 Houston, TX 77046 281-841-1304

Officers

Name	Position	Business Address
John Schultz John.Schultz@directenergy.com	Co-President	194 Wood Ave S, 2 nd Floor Iselin, NJ 08830 732-516-2600
Bruce Stewart Bruce.Stewart@directenergy.com	Co-President	12 Greenway Plaza, Ste 250 Houston, TX 77046 281-841-1304
Paolo Berard Paolo.Berard@directenergy.com	Co-Secretary	12 Greenway Plaza, Ste 250 Houston, TX 77046 713-877-3533
Bray Dohrwardt Bray.Dohrwardt@directenergy.com	Co-Secretary	12 Greenway Plaza, Ste 250 Houston, TX 77046 713-877-3851
Dana Mason Dana.Mason@directenergy.com	Co-Treasurer	12 Greenway Plaza, Ste 250 Houston, TX 77046 281-800-6429
Stuart Phillips Stuart.Phillips@directenergy.com	Co-Treasurer	194 Wood Ave S, 2 nd Floor Iselin, NJ 08830 732-781-0542
Stacy Phillips Stacy.Phillips@directenergy.com	Assistant Treasurer	12 Greenway Plaza, Ste 250 Houston, TX 77046 281-800-6397

Attachment B

Business Authority

(Puc 2006.01(h))



State of New Hampshire

Department of State

Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at corporate@sos.state.nh.us. Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State
Corporation Division

Business ID#: 728207

State of New Hampshire

Filing fee: \$ 50.00
Fee for Form SRA: \$ 50.00
Total fees: \$100.00
Use black print or type.

Filed
Date Filed: 06/26/2015
Business ID: 728207
William M. Gardner
Secretary of State

Form FLLC-1
RSA 304-C:175

APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is Direct Energy Services LLC

SECOND: The name which it proposes to register and do business in New Hampshire is Direct Energy Services, LLC

THIRD: It is formed under the laws of Delaware

FOURTH: The date of its formation is June 22, 2004

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is Intends to offer power supply to residential and small commercial customers in New Hampshire at a fixed and/or variable rates in the service territories Eversource, Liberty Utilities, UES- Unitil Energy Systems, and NHEC - New Hampshire Electric Cooperative, Inc.

SIXTH: The name of its registered agent In New Hampshire is Corporate Creations Network Inc.

and the street address, town/city (including zip code and post office box, if any) of its registered office is (agent's business address In New Hampshire) 3 Executive Park Drive , #201A, Bedford, NH 03110

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

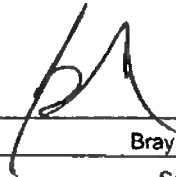
State of New Hampshire
Form FLLC 1 - Application for Foreign Registration FLLC 8 Page(s)



T1517652019

APPLICATION FOR REGISTRATION AS A
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1
(Cont.)

*Signature: 
Print or type name: Bray Dohrwardt
Title: Secretary
Date signed: June 5, 2015

Complete address of person signing: 12 Greenway Plaza, Ste. 250
Houston, TX 77046

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here:
ruth.cannon@directenergy.com

* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL AND FORM SRA to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3rd Floor, Concord, NH 03301.



NEW HAMPSHIRE
DEPARTMENT OF STATE

SECRETARY OF STATE
WILLIAM M. GARDNER

Search Business Names

[← Back to Home](#)

Search Result

Business Name	Business ID	Homestate Name	Previous Name	Business Type	Principal Office Address	Registered Agent Name	Status
Direct Energy Services, LLC	728207	Direct Energy Services, LLC		Foreign Limited Liability Company	12 Greenway Plaza Ste. 250, Houston, TX, 77046, USA	Corporate Creations Network Inc.	Good Standing

Page 1 of 1, records 1 to 1 of 1



NEW HAMPSHIRE
DEPARTMENT OF STATE

SECRETARY OF STATE
WILLIAM M. GARDNER

Search Business Names

[Back to Home](#)

Search Result

Business Name	Business ID	Homestate Name	Previous Name	Business Type	Principal Office Address	Registered Agent Name	Status
First Choice Power	736615			Trade Name	12 Greenway Plazal #250, Houston, TX, 77046, USA	N/A	Active

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "DIRECT ENERGY SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TENTH DAY OF JUNE, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "DIRECT ENERGY SERVICES, LLC" WAS FORMED ON THE TWENTY-SECOND DAY OF JUNE, A.D. 2004.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

3819310 8300

150902892

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2452655

DATE: 06-10-15

Direct Energy Business Marketing, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

June 22, 2015

Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Direct Energy Business Marketing, LLC, a Delaware limited liability company, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Direct Energy Business
Marketing, LLC, a Limited Liability Company

By: _____

Bray Dohrwardt
Secretary

***Direct Energy Business, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046***

June 22, 2015

Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Direct Energy Business, LLC, a Delaware limited liability company, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Direct Energy Business, LLC, a
Limited Liability Company

By: 

Bray Dohrwardt
Secretary

Direct Energy New York Corporation
12 Greenway Plaza, Suite 250
Houston, TX 77046

June 22, 2015

Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Direct Energy New York Corporation, a Delaware corporation, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Direct Energy New York
Corporation, a corporation

By 

Bray Dohrwardt
Secretary

Astrum Solar, Inc. dba Direct Energy Solar
12 Greenway Plaza, Suite 250
Houston, TX 77046

June 22, 2015

Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Astrum Solar, Inc. dba Direct Energy Solar, a Maryland corporation, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Astrum Solar, Inc. dba Direct
Energy Solar, a corporation

By: 

Bray Dohrwardt
Secretary

**Form SRA – Addendum to Business Organization and Registration Forms
Statement of Compliance with New Hampshire Securities Laws**

Part I – Business Identification and Contact Information

Business Name: Direct Energy Services, LLC

Business Address (include city, state, zip): 12 Greenway Plaza, Ste. 250, Houston, TX 77046

Telephone Number: (713) 904-7121 E-mail: ruth.cannon@directenergy.com

Contact Person: Ruth Cannon

Contact Person Address (if different): _____

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. [PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

1. _____ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
A) This business has 10 or fewer owners; and
B) Advertising relating to the sale of ownership interests has not been circulated; and
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. _____ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____
3. _____ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. _____ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): Bray Dohrwardt

Signature: 

Date signed: June 5, 2015

Name (print): _____

Signature: _____

Date signed: _____

Name (print): _____

Signature: _____

Date signed: _____

Attachment B(1)

Certificate of Good Standing

State of New Hampshire

Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that DIRECT ENERGY SERVICES, LLC is a Delaware Limited Liability Company registered to transact business in New Hampshire on June 25, 2015. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 728207

Certificate Number: 0005045380



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed
the Seal of the State of New Hampshire,
this 19th day of November A.D. 2020.

A handwritten signature in black ink, appearing to read "William M. Gardner".

William M. Gardner
Secretary of State

Attachment C

Trade Name Registration

(Puc 2006.01(i))



NEW HAMPSHIRE
DEPARTMENT OF STATE

SECRETARY OF STATE
WILLIAM M. GARDNER

Search Business Names

[← Back to Home](#)

Search Result

Business Name	Business ID	Homestate Name	Previous Name	Business Type	Principal Office Address	Registered Agent Name	Status
First Choice Power	736615			Trade Name	12 Greenway Plazal #250, Houston, TX, 77046, USA	N/A	Active

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "DIRECT ENERGY SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TENTH DAY OF JUNE, A.D. 2015.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "DIRECT ENERGY SERVICES, LLC" WAS FORMED ON THE TWENTY-SECOND DAY OF JUNE, A.D. 2004.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

3819310 8300

150902892

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 2452655

DATE: 06-10-15

Direct Energy Business Marketing, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

June 22, 2015

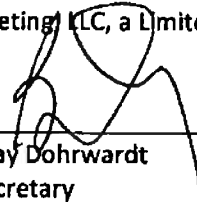
Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Direct Energy Business Marketing, LLC, a Delaware limited liability company, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Direct Energy Business
Marketing, LLC, a Limited Liability Company

By: 
Bray Dohrwardt
Secretary

Direct Energy Business, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

June 22, 2015

Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Direct Energy Business, LLC, a Delaware limited liability company, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Direct Energy Business, LLC, a
Limited Liability Company

By: 

Bray Donwardt
Secretary

Direct Energy New York Corporation
12 Greenway Plaza, Suite 250
Houston, TX 77046

June 22, 2015

Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Direct Energy New York Corporation, a Delaware corporation, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Direct Energy New York
Corporation, a corporation

By 

Bray Dohrwardt
Secretary

Astrum Solar, Inc. dba Direct Energy Solar
12 Greenway Plaza, Suite 250
Houston, TX 77046

June 22, 2015

Direct Energy Services, LLC
12 Greenway Plaza, Suite 250
Houston, TX 77046

Secretary of State
State of New Hampshire

Ladies and Gentlemen:

By this letter Astrum Solar, Inc. dba Direct Energy Solar, a Maryland corporation, hereby consents to the use of the name "Direct Energy Services, LLC" by Direct Energy Services, LLC, a Delaware limited liability company ("DES"), in qualifying or registering DES to transact business as a foreign corporation in the State of New Hampshire under the New Hampshire Limited Liability Company Laws, and in so transacting business in that state following that qualification or registration.

On behalf of Astrum Solar, Inc. dba Direct
Energy Solar, a corporation

By: 

Bray Dohrwardt
Secretary

**Form SRA – Addendum to Business Organization and Registration Forms
Statement of Compliance with New Hampshire Securities Laws**

Part I – Business Identification and Contact Information

Business Name: Direct Energy Services, LLC

Business Address (include city, state, zip): 12 Greenway Plaza, Ste. 250, Houston, TX 77046

Telephone Number: (713) 904-7121 E-mail: ruth.cannon@directenergy.com

Contact Person: Ruth Cannon

Contact Person Address (if different): _____

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. **[PLEASE NOTE:** Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. **However,** you must insure that your business meets all of the requirements spelled out in A), B), and C)]:

1. _____ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:
A) This business has 10 or fewer owners; and
B) Advertising relating to the sale of ownership interests has not been circulated; and
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. _____ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - _____
3. _____ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - _____
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. _____ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): Bray Dohrwardt

Signature: 

Date signed: June 5, 2015

Name (print): _____

Signature: _____

Date signed: _____

Name (print): _____

Signature: _____

Date signed: _____

Attachment D

ISO New England Market Participant Membership

(Puc 2006.01(j))

ISO Membership Statement

To maximize efficiency in providing high quality services to customers, DES has integrated all the key components in-house for electricity. This includes everything from contracting the power purchases for retail sales to scheduling retail electricity for delivery to customers. DES, through its internal affiliate relationships, employs a mix of financial hedging (spot market purchases) and physical hedging (both short-term and long-term power contracts) to meet its obligations to its customers.

To meet its energy obligations for its New Hampshire electric customers, Direct Energy Services, LLC ("DES") arranges to receive its full energy requirements from maintained agreements with its affiliates Direct Energy Business, LLC and Direct Energy Business Marketing, LLC. As explained above, these agreements support DES' power needs in the New England Power Pool ("NEPOOL") area. DES can provide these agreements under confidential treatment upon request.

[Participate](#) > [Participant and Asset Listings](#)

Customer Directory

Search the directory for details on and contact information for the entities registered with ISO New England, as well as on the committees and subgroups advising the ISO. For example, you can find:

- Each participant's name, address, stock symbol(s), industry sector, industry type/classification, committee membership, and NEPOOL voting status (if the participant is a member of the New England Power Pool)
- Committee and subcommittee or working group names, member lists, and the company association of members
- [Download a CSV file of the Participant Directory](#)

As you type you will be offered suggested results. Use your keyboard arrows or mouse to navigate the results.

Company Details: **Direct Energy Business, LLC**

Stock Symbol: (London : CNA)
2 Gateway Center, 9th Floor
Pittsburgh, PA 15222

▲ Customer Details

CUSTOMER ID	SECTOR	TYPE	CLASSIFICATION	SUB-CLASSIFICATION	VOTING STATUS
154	Supplier	Participant	Market Participant		Y

Company Details: **Direct Energy Business Marketing, LLC**

Stock Symbol: (London : CNA)
194 Wood Avenue
2nd Floor
Iselin, NJ 08830

▲ Customer Details

CUSTOMER ID	SECTOR	TYPE	CLASSIFICATION	SUB-CLASSIFICATION	VOTING STATUS
113189	Supplier	Participant	Market Participant	Demand Designated Entity	N



Customer Directory

Report for: 10/15/2020 - 10/15/2020

Report generated: 10/15/2020 15:23:05 EDT

ID Number	Customer Name	Status
132874	AES Distributed Energy, Inc.	ACTIVE
129901	AM Trading Solutions, LLC	ACTIVE
51248	AR DG Small Group Member	ACTIVE
51154	AR LR Small Group Member	ACTIVE
126873	AR RG Large Group Member	ACTIVE
51155	AR RG Small Group Member	ACTIVE
129502	Able Grid Infrastructure Holdings, LLC	ACTIVE
95605	Acadia Center	ACTIVE
134758	Acadia Renewable Energy, L.L.C.	ACTIVE
133928	Actual Energy Inc.	ACTIVE
50909	Acushnet Company	ACTIVE
135036	Advanced Energy Economy Inc	ACTIVE
126631	Aesir Power, LLC	ACTIVE
51320	Algonquin Energy Services Inc.	ACTIVE
130743	Algonquin Gas Transmission, LLC	ACTIVE
132817	Alpha Gas and Electric, LLC	ACTIVE
128194	Alphataraxia Nickel LLC	ACTIVE
51398	Ambit Northeast, LLC	ACTIVE
51242	Ameresco CT LLC	ACTIVE
133309	American Petroleum Institute	ACTIVE
128640	American Power & Gas of MA, LLC	ACTIVE
51268	American PowerNet Management, LP	ACTIVE
51040	Ampersand Energy Partners LLC	ACTIVE
128584	Anbaric Development Partners, LLC	ACTIVE
51401	Antrim Wind Energy LLC	ACTIVE
129294	Appian Way Energy Partners East, LLC	ACTIVE
126853	Archer Energy, LLC	ACTIVE
1	Ashburnham Municipal Light Department	ACTIVE
50387	Associated Industries of Massachusetts	ACTIVE
118351	Astral Energy LLC	ACTIVE
51048	Athens Energy LLC	ACTIVE
127407	Atlantic Energy MA LLC	ACTIVE
127432	Avangrid Networks, Inc.	ACTIVE
50760	Avangrid Renewables, LLC	ACTIVE
133870	Axon Energy, LLC	ACTIVE
50427	BP Energy Company	ACTIVE
129246	BSW ProjectCo LLC	ACTIVE
50971	Backyard Farms Energy, LLC	ACTIVE
50972	Backyard Farms, LLC	ACTIVE
126758	Bath Iron Works Corporation	ACTIVE
50794	Bear Swamp Power Company LLC	ACTIVE
89	Belmont Municipal Light Department	ACTIVE
50865	Berkshire Power Company, LLC	ACTIVE
51176	Berlin Station, LLC	ACTIVE
127135	BioUrja Power, LLC	ACTIVE
51251	Black Bear Hydro Partners, LLC	ACTIVE
50749	Blackstone Hydro, Inc.	ACTIVE
133042	Block Island Utility District	ACTIVE
133012	Bloom Connecticut Clean Energy Company, LLC	ACTIVE
51278	Blue Sky East, LLC	ACTIVE
51329	Blue Sky West, LLC	ACTIVE
133892	Blueprint Power Technologies Inc.	ACTIVE

129250	Borrego Solar Systems, Inc.	ACTIVE
54	Boston Energy Trading and Marketing LLC	ACTIVE
4	Boylston Municipal Light Department	ACTIVE
5	Braintree Electric Light Department, Town of	ACTIVE
132869	Bridgeport Fuel Cell, LLC	ACTIVE
51368	Bridgewater Power Company, L.P.	ACTIVE
50475	Brookfield Energy Marketing Inc.	ACTIVE
51355	Brookfield Energy Marketing, LP	ACTIVE
51195	Brookfield Renewable Energy Marketing US LLC	ACTIVE
132888	Brookfield Renewable Trading And Marketing LP	ACTIVE
50173	Brookfield White Pine Hydro LLC	ACTIVE
50481	Brown Bear II Hydro, Inc.	ACTIVE
80420	Bruce Power Inc.	ACTIVE
126766	Bucksport Generation LLC	ACTIVE
50085	Burlington Electric Department	ACTIVE
100696	C. N. Brown Electricity, LLC	ACTIVE
51271	CHI Power Marketing, Inc.	ACTIVE
50667	CONVEX Local Control Center	ACTIVE
51066	CPV Towantic, LLC	ACTIVE
129227	CS Berlin Ops, Inc.	ACTIVE
128413	CWP Energy Inc.	ACTIVE
50375	Calpine Energy Services, LP	ACTIVE
50498	Calpine Energy Solutions, LLC	ACTIVE
50989	Cambridge Energy Solutions, LLC	ACTIVE
70425	Canandaigua Power Partners, LLC	ACTIVE
50414	Cape Light Compact JPE	ACTIVE
51078	Castleton Commodities Merchant Trading L.P.	ACTIVE
128673	Celtic Power Analytics LLC	ACTIVE
6	Central Maine Power Company	ACTIVE
128493	Central Rivers Power MA, LLC	ACTIVE
128964	Central Rivers Power NH, LLC	ACTIVE
51343	Centre Lane Trading Limited	ACTIVE
81885	Champion Energy Marketing, LLC	ACTIVE
121263	Champlain VT, LLC	ACTIVE
51300	Chester Municipal Electric Light Department	ACTIVE
7	Chicopee Municipal Lighting Plant	ACTIVE
51347	Choice Energy, LLC	ACTIVE
51394	Christopher M. Anthony	SUSPENDED
51274	Cianbro Energy, LLC	ACTIVE
50747	Citigroup Energy Inc.	ACTIVE
105735	CleanChoice Energy, Inc.	ACTIVE
126789	Clearesult Consulting Inc.	ACTIVE
50992	Clearview Electric Inc.	ACTIVE
132642	Clearway Power Marketing LLC	ACTIVE
103079	Coalition of Northeastern Governors	ACTIVE
126816	Commonwealth Resource Management Corporation	ACTIVE
51196	Commonwealth of Massachusetts Office of the Attorney	ACTIVE
133021	Community Eco Power, LLC	ACTIVE
51191	Competitive Energy Services, LLC	ACTIVE
90	Concord Municipal Light Plant	ACTIVE
50887	Connecticut Central Energy, LLC	ACTIVE
51372	Connecticut Gas & Electric, Inc	ACTIVE
50092	Connecticut Light and Power Company The	ACTIVE
8	Connecticut Municipal Electric Energy Cooperative	ACTIVE
50471	Connecticut Office of Consumer Counsel	ACTIVE
50456	Connecticut Public Utilities Regulatory Authority	ACTIVE
51386	Connecticut Transmission Municipal Electric Energy Cor	ACTIVE
50849	Conservation Law Foundation	ACTIVE
50081	Consolidated Edison Company of New York, Inc.	ACTIVE
50247	Consolidated Edison Energy, Inc	ACTIVE
50026	Consolidated Edison Solutions, Inc.	ACTIVE
50017	Constellation NewEnergy, Inc.	ACTIVE
126585	Convergent Energy and Power LLC	ACTIVE
51363	Covanta Energy Marketing, LLC	ACTIVE
128071	Cricket Valley Energy Center, LLC	ACTIVE

50491	Cross-Sound Cable Company, LLC	ACTIVE
132849	Curio Analytics Inc.	ACTIVE
128683	Cypress Creek Renewables, LLC	ACTIVE
50690	DC Energy, LLC	ACTIVE
50976	DCAM, Commonwealth of Massachusetts	ACTIVE
51229	DFC-ERG CT, LLC	ACTIVE
50436	DTE Energy Trading, Inc.	ACTIVE
133018	DWW Solar II, LLC	ACTIVE
133069	Dantzig Energy LLC	ACTIVE
9	Danvers Electric Division	ACTIVE
51441	Darby Energy, LLC	ACTIVE
50916	Dartmouth Power Associates Limited Partnership	ACTIVE
134757	David Energy Supply, LLC	ACTIVE
50199	Day Pitney LLP	ACTIVE
104925	Deepwater Wind Block Island, LLC	ACTIVE
51237	Devonshire Energy LLC	ACTIVE
133376	Dichotomy Collins Hydro LLC	ACTIVE
113189	Direct Energy Business Marketing, LLC	ACTIVE
154	Direct Energy Business, LLC	ACTIVE
51201	Discount Power, Inc.	ACTIVE
50495	Dominion Energy Generation Marketing, Inc.	ACTIVE
50435	Dominion Energy Nuclear Connecticut, Inc.	ACTIVE
128621	Durgin and Crowell Lumber Company, Inc.	ACTIVE
90533	Dynasty Power Inc.	ACTIVE
51317	Dynegy Marketing and Trade, LLC	ACTIVE
126859	EDF Energy Services, LLC	ACTIVE
51209	EDF Trading North America, LLC	ACTIVE
51442	EDP Renewables North America LLC	ACTIVE
129585	EIP Investment, LLC	ACTIVE
50687	ENGIE Resources LLC	ACTIVE
51390	EPIS, Inc.	ACTIVE
51217	ETC Endure Energy L.L.C.	ACTIVE
129225	Eagle's View Partners, Ltd.	ACTIVE
51348	Efficiency Maine Trust	ACTIVE
51356	Electricity Maine, LLC	ACTIVE
89158	Electricity N.H., LLC	ACTIVE
51080	Elektrisola Incorporated	ACTIVE
121830	Eligo Energy, LLC	ACTIVE
50979	Emera Energy Services Subsidiary No. 1 LLC	ACTIVE
128223	Emera Energy Services Subsidiary No. 12 LLC	ACTIVE
128226	Emera Energy Services Subsidiary No. 15 LLC	ACTIVE
50981	Emera Energy Services Subsidiary No. 2 LLC	ACTIVE
50983	Emera Energy Services Subsidiary No. 4 LLC	ACTIVE
81800	Emera Energy Services Subsidiary No. 6 LLC	ACTIVE
81804	Emera Energy Services Subsidiary No. 9 LLC	ACTIVE
133349	Enel Trading North America, LLC	ACTIVE
50689	Enel X North America, Inc.	ACTIVE
50784	Energy Federation Inc.	SUSPENDED
128047	Energy GPS LLC	ACTIVE
133690	Energy Harbor LLC	ACTIVE
50432	Energy Management, Inc. (EMI)	ACTIVE
50186	Energy New England LLC	ACTIVE
51213	Energy Plus Holdings LLC	ACTIVE
51437	Energy Rewards, LLC	ACTIVE
132964	Energy Storage Resources, LLC	ACTIVE
87147	Enerwise Global Technologies, LLC	ACTIVE
123477	Engelhart CTP (US) LLC	ACTIVE
162	Engie Energy Marketing NA, Inc.	ACTIVE
51138	EnvaPower, Inc.	ACTIVE
128572	Environmental Defense Fund, Incorporated	ACTIVE
51102	Essential Power Massachusetts, LLC	ACTIVE
51111	Essential Power Newington, LLC	ACTIVE
51070	Evergreen Wind Power II, LLC	ACTIVE
51114	Evergreen Wind Power III, LLC	ACTIVE
112	Eversource Energy Service Company	ACTIVE

77673	Eversource Energy Transmission Ventures, Inc.	ACTIVE
82125	Everyday Energy, LLC	ACTIVE
133166	Excelerate Energy Limited Partnership	ACTIVE
50428	Exelon Generation Company, LLC	ACTIVE
128227	Farhad Aminpour	ACTIVE
51284	First Point Power, LLC	ACTIVE
50876	Firstlight Power Management LLC	ACTIVE
124183	Fisher Road Solar I LLC	ACTIVE
38	Fitchburg Gas & Electric Light Company	ACTIVE
120129	Footprint Power Salem Harbor Development LP	ACTIVE
51400	Freepoint Commodities LLC	ACTIVE
128492	Fusion Solar Center, LLC	ACTIVE
126831	GBE Power Inc.	ACTIVE
129307	GSP Lost Nation LLC	ACTIVE
129304	GSP Merrimack LLC	ACTIVE
129303	GSP Newington LLC	ACTIVE
129306	GSP Schiller LLC	ACTIVE
129305	GSP White Lake LLC	ACTIVE
50879	Galt Power Inc.	ACTIVE
51087	Garland Manufacturing Company	ACTIVE
51088	Garland Power Company	ACTIVE
50792	Gas Recovery Systems, LLC	ACTIVE
51101	GenConn Energy LLC	ACTIVE
122708	Genbright, LLC	ACTIVE
50451	Generation Group Member	ACTIVE
50791	Genon Energy Management, LLC	ACTIVE
128435	Georges River Energy, LLC	ACTIVE
39	Georgetown Municipal Light Department	ACTIVE
126480	Goose River Hydro, Inc.	ACTIVE
51290	Granite Reliable Power, LLC	ACTIVE
128040	Gravity Renewables, Inc	ACTIVE
133185	Great American Gas & Electric, LLC	ACTIVE
128206	Great American Power, LLC	SUSPENDED
50673	Great Bay Power Marketing, Inc	ACTIVE
81309	Great River Hydro, LLC	ACTIVE
51094	Green Berkshires, Inc.	ACTIVE
126810	Green Development, LLC	ACTIVE
51385	Green Mountain Energy Company	ACTIVE
50275	Green Mountain Power Corporation	ACTIVE
128254	Green Power USA, LLC	ACTIVE
129784	Grid Power Direct, LLC	ACTIVE
40	Groton Electric Light Department	ACTIVE
129822	Group628, LLC	ACTIVE
50276	Groveland Municipal Electric Department	ACTIVE
50084	H.Q. Energy Services (US) Inc.	ACTIVE
89416	HIKO Energy, LLC	ACTIVE
51044	Hammond Belgrade Energy LLC	ACTIVE
51045	Hammond Lumber Company	ACTIVE
133935	Hampshire Power Corporation	ACTIVE
102734	Hancock Wind, LLC	ACTIVE
126712	Harborside Energy of Massachusetts, LLC	ACTIVE
127945	Hartree Partners, LP	ACTIVE
50762	Harvard Dedicated Energy Limited	ACTIVE
51435	High Liner Foods (USA) Incorporated	ACTIVE
42	Hingham Municipal Lighting Plant	ACTIVE
43	Holden Municipal Light Department	ACTIVE
44	Holyoke Gas & Electric Department	ACTIVE
105781	Howard Wind LLC	ACTIVE
50986	Hudson Energy Services, LLC	ACTIVE
45	Hudson Light & Power Department	ACTIVE
72	Hull Municipal Lighting Plant	ACTIVE
112499	IDT Energy, Inc.	ACTIVE
128288	IPKeys Power Partners, Inc.	ACTIVE
50051	ISO New England Inc	ACTIVE
51405	Icetec Energy Services, Inc.	ACTIVE

50445	Indeck Energy-Alexandria, L.L.C.	ACTIVE
51406	Independence Energy Group LLC	ACTIVE
50255	Industrial Energy Consumer Group	ACTIVE
50675	Industrial Power Services Corp	ACTIVE
126638	Inspire Energy Holdings, LLC	ACTIVE
129517	Interconnect Energy Storage LLC	ACTIVE
113190	Interstate Gas Supply, Inc	ACTIVE
126771	Invenergy Energy Management LLC	ACTIVE
133043	Invenia Technical Computing Corporation	ACTIVE
73	Ipswich Municipal Light Department	ACTIVE
50473	J. Aron & Company LLC	ACTIVE
50412	J.F. Gray & Associates, LLC	ACTIVE
89161	Jericho Power LLC	ACTIVE
128719	Josco Energy MA LLC	ACTIVE
51282	Just Energy (U.S.) Corp.	ACTIVE
132880	KCE CT 1, LLC	ACTIVE
133040	KCE CT 2, LLC	ACTIVE
50179	Kendall Green Energy LLC	ACTIVE
51065	Kimberly-Clark Corporation	ACTIVE
128238	King Forest Industries, Inc.	ACTIVE
50908	Kleen Energy Systems, LLC	ACTIVE
50902	Liberty Power Delaware LLC	ACTIVE
50888	Liberty Power Holdings LLC	ACTIVE
159	Liberty Utilities (Granite State Electric) Corp.	ACTIVE
75	Littleton Electric Light & Water Department	ACTIVE
50732	Littleton Water and Light Department	ACTIVE
50036	Long Island Lighting Company d.b.a. LIPA	ACTIVE
126757	Longreach Energy, LLC	ACTIVE
126646	Longwood Medical Energy Collaborative, Inc.	ACTIVE
126673	MA Operating Holdings, LLC	ACTIVE
50851	MAG Energy Solutions, Inc.	ACTIVE
50856	MATEP, LLC	ACTIVE
129481	MP2 Energy LLC	ACTIVE
129480	MP2 Energy NE LLC	ACTIVE
128314	MPower Energy LLC	ACTIVE
51109	Macquarie Energy LLC	ACTIVE
133013	Macquarie Energy Trading LLC	ACTIVE
133288	Madison BTM, LLC	ACTIVE
51145	Madison Electric Works	ACTIVE
50669	Maine Local Control Center	ACTIVE
128274	Maine Power LLC	ACTIVE
50457	Maine Public Utilities Commission	ACTIVE
50391	Maine Skiing, Inc.	ACTIVE
89264	Major Energy Electric Services LLC	ACTIVE
50881	Manchester Methane, LLC	SUSPENDED
51344	Manchester Street, L.L.C.	ACTIVE
77	Mansfield Municipal Electric Department	ACTIVE
128478	Maple Energy LLC	ACTIVE
82230	Marble River, LLC	ACTIVE
78	Marblehead Municipal Light Department	ACTIVE
129088	Marco DM Holdings, L.L.C.	ACTIVE
128555	Marie's Way Solar I, LLC	ACTIVE
126645	Mass Solar 1, LLC	ACTIVE
50678	Massachusetts Bay Transportation Authority	ACTIVE
50458	Massachusetts Department of Public Utilities	ACTIVE
50927	Massachusetts Development Finance Agency	ACTIVE
50075	Massachusetts Electric Company	ACTIVE
51093	Massachusetts Gas and Electric, Inc.	ACTIVE
76	Massachusetts Municipal Wholesale Electric Company	ACTIVE
50815	Massachusetts Port Authority	ACTIVE
50694	Materials Innovation and Recycling Authority	ACTIVE
132847	McCallum Enterprises 1 Limited Partnership	ACTIVE
112804	Mega Energy Holdings, LLC	ACTIVE
51272	Mercuria Energy America, LLC	ACTIVE
127098	Mercuria SJAK Trading, LLC	ACTIVE

50748	Merrill Lynch Commodities, Inc.	ACTIVE
151	Merrimac Municipal Light Plant	ACTIVE
51367	Messalonskee Stream Hydro, LLC	ACTIVE
50706	Messer Energy Services, Inc.	ACTIVE
129397	Michael Kuser	ACTIVE
132882	MidAmerican Energy Services, LLC	ACTIVE
79	Middleborough Gas & Electric Department	ACTIVE
80	Middleton Municipal Light Department	ACTIVE
50683	Millennium Power Partners,L.P.	ACTIVE
126640	Moore Energy LLC	ACTIVE
85	Morgan Stanley Capital Group, Inc.	ACTIVE
132898	NDC Partners LLC	ACTIVE
51459	NEPM II, LLC	ACTIVE
63544	NGV US Transmission Inc.	ACTIVE
129753	NN8, LLC	ACTIVE
50905	NRG Curtailment Solutions, Inc.	ACTIVE
50192	NRG Power Marketing LLC	ACTIVE
130546	NS Power Energy Marketing Incorporated	ACTIVE
3	NSTAR Electric Company	ACTIVE
50830	NSTAR Local Control Center	ACTIVE
127402	NTE Connecticut, LLC	ACTIVE
126604	Nalcor Energy Marketing Corporation	ACTIVE
127541	National Gas & Electric, LLC	ACTIVE
50019	National Grid Local Control Center	ACTIVE
128309	Natural Resources Defense Council, Inc.	ACTIVE
113188	Nautilus Power, LLC	ACTIVE
50899	New Brunswick Energy Marketing Corporation	ACTIVE
129470	New England Battery Storage, LLC	ACTIVE
51419	New England Conference of Public Utilities Commission	ACTIVE
126482	New England Energy Connection, LLC	ACTIVE
81	New England Power Company	ACTIVE
51420	New England States Committee on Electricity	ACTIVE
50783	New England Wire Technologies Corp.	ACTIVE
157	New Hampshire Electric Cooperative, Inc.	ACTIVE
50668	New Hampshire Local Control Center	ACTIVE
50465	New Hampshire Office of Consumer Advocate	ACTIVE
50459	New Hampshire Public Utilities Commission	ACTIVE
51321	New Hampshire Transmission, LLC	ACTIVE
50416	New York State Electric & Gas Corporation	ACTIVE
50086	NextEra Energy Marketing, LLC	ACTIVE
50171	NextEra Energy Resources, LLC	ACTIVE
133444	Nexus Energy Inc.	ACTIVE
70440	Niagara Wind Power, LLC	ACTIVE
126571	Nordic Energy Services, LLC	ACTIVE
51277	North American Power and Gas, LLC	ACTIVE
111	North Attleborough Electric Department	ACTIVE
129432	North Stonington Solar Center, LLC	ACTIVE
50775	Northern States Power Company	ACTIVE
158	Norwood Municipal Light Department	ACTIVE
51296	Number Nine Wind Farm LLC	ACTIVE
128680	Nylon Corporation of America, Inc.	ACTIVE
122709	Oasis Power, LLC	ACTIVE
51387	Ontario Power Generation Energy Trading, Inc.	ACTIVE
50716	Ontario Power Generation Inc.	ACTIVE
128486	Orbit Energy Rhode Island, LLC	ACTIVE
51393	PNE Energy Supply LLC	ACTIVE
50421	PSEG Energy Resources & Trade LLC	ACTIVE
51439	PSEG New Haven LLC	ACTIVE
122707	Pacific Summit Energy LLC	ACTIVE
51457	Palmco Power MA, LLC	ACTIVE
133844	Paper Birch Energy, LLC	ACTIVE
116	Pascoag Utility District	ACTIVE
51083	Patriot Partnership LLC	ACTIVE
50985	Pawtucket Power Holding Company LLC	ACTIVE
117	Paxton Municipal Light Department	ACTIVE

144	Peabody Municipal Light Plant	ACTIVE
126648	Peninsula Power, LLC	ACTIVE
91353	Perigee Energy, LLC	ACTIVE
117297	Pioneer Hydro Electric Co., Inc.	ACTIVE
51325	Pixelle Energy Services LLC	ACTIVE
50921	Plainfield Renewable Energy, LLC	ACTIVE
124176	Plant-E Corp.	ACTIVE
51425	Plymouth Rock Energy, LLC	ACTIVE
134756	Power Ledger Pty. Ltd.	ACTIVE
115734	Power Supply Services, LLC	ACTIVE
50392	PowerOptions, Inc.	ACTIVE
50338	Praxair, Inc.	ACTIVE
145	Princeton Municipal Light Department	ACTIVE
133769	Priogen Power LLC	ACTIVE
104122	Provider Power MASS, LLC	ACTIVE
51255	Provisional Group Member	ACTIVE
50998	Public Power, LLC	ACTIVE
50094	Public Service Company of New Hampshire	ACTIVE
51288	Putnam Hydropower, Inc.	ACTIVE
133694	RPA Energy Inc.	ACTIVE
50696	Rainbow Energy Marketing Corp.	ACTIVE
50745	ReEnergy Stratton LLC	ACTIVE
148	Reading Municipal Light Department	ACTIVE
51072	Record Hill Wind LLC	ACTIVE
51382	Reliant Energy Northeast LLC	ACTIVE
128209	Renaissance Power & Gas, Inc.	ACTIVE
102794	Rensselaer Generating LLC	ACTIVE
51429	Repsol Energy North America Corporation	ACTIVE
126502	Residents Energy, LLC	ACTIVE
132960	Revere Power, LLC	ACTIVE
50713	Rhode Island Engine Genco, LLC	ACTIVE
50460	Rhode Island Public Utilities Commission	ACTIVE
127430	Rhode Island State Energy Center, LP	ACTIVE
51263	Rocky Gorge Corporation	ACTIVE
127145	Roctop Investments Inc.	ACTIVE
133294	Rodan Energy Solutions (USA) Inc.	ACTIVE
128371	Roseton Generating LLC	ACTIVE
146	Rowley Municipal Lighting Plant	ACTIVE
128557	RoxWind LLC	ACTIVE
128310	Rubicon NYP Corp.	ACTIVE
51306	Russell Municipal Light Department	ACTIVE
126460	SFE Energy Connecticut, Inc.	ACTIVE
126483	SFE Energy Massachusetts, Inc.	ACTIVE
133693	SP Transmission, LLC	ACTIVE
106136	SRECTrade, Inc.	ACTIVE
128069	SWEB Development USA, LLC	ACTIVE
134755	SYSO LLC	ACTIVE
50751	Saint Anselm College	ACTIVE
51223	Saracen Energy East LP	ACTIVE
51218	Saracen Power LP	ACTIVE
50737	Seneca Energy II, LLC	ACTIVE
87	Shell Energy North America (US), L.P.	ACTIVE
51012	Shipyard Brewing Co., LLC	ACTIVE
51013	Shipyard Energy, LLC	ACTIVE
149	Shrewsbury Electric & Cable Operations	ACTIVE
133934	Sky View Ventures, LLC	ACTIVE
123437	SmartEnergy Holdings, LLC	ACTIVE
133188	SociVolta Inc.	ACTIVE
150	South Hadley Electric Light Department	ACTIVE
51031	South Jersey Energy Company	ACTIVE
128313	South Jersey Energy ISO3, LLC	ACTIVE
50859	Spark Energy, LLC	ACTIVE
89219	Springfield Power, LLC	ACTIVE
51402	Spruce Mountain Wind, LLC	ACTIVE
133845	Standard Normal Energy LLC	ACTIVE

51279	Starion Energy Inc.	ACTIVE
51463	State of Maine - Maine Public Advocate Office	ACTIVE
152	Sterling Municipal Electric Light Department	ACTIVE
78239	Stetson Holdings, LLC	ACTIVE
51059	Stetson Wind II, LLC	ACTIVE
130567	Stonepeak Kestrel Energy Marketing LLC	ACTIVE
86	Stored Solar J&WE, LLC	ACTIVE
50324	Stowe Electric Department	ACTIVE
51353	Summer Energy Northeast, LLC	ACTIVE
128571	Sunrun Inc.	ACTIVE
124090	Sunwave USA Holdings Inc.	ACTIVE
127138	Sustaining Power Solutions LLC	ACTIVE
130638	Syncarpha Billerica, LLC	ACTIVE
130637	Syncarpha Bondsville, LLC	ACTIVE
130640	Syncarpha Hancock Solar, LLC	ACTIVE
128556	Syncarpha Lexington, LLC	ACTIVE
130641	Syncarpha North Adams, LLC	ACTIVE
120535	TEC Energy Inc.	ACTIVE
50212	Talen Energy Marketing, LLC	ACTIVE
51456	Tangent Energy Solutions, Inc.	ACTIVE
153	Taunton Municipal Lighting Plant	ACTIVE
180	Templeton Municipal Light & Water Plant	ACTIVE
128582	Tenaska Power Management, LLC	ACTIVE
89297	Tenaska Power Services Co.	ACTIVE
51378	Texas Retail Energy, LLC	ACTIVE
50376	The Energy Consortium	ACTIVE
126641	The Moore Company	ACTIVE
156	The Narragansett Electric Company	ACTIVE
132818	Thordin ApS	ACTIVE
129516	Three Corners Solar, LLC	ACTIVE
128204	Titan Gas, LLC	ACTIVE
114502	Town Square Energy, LLC	ACTIVE
126481	Town of Hanover	ACTIVE
51310	Town of Wallingford CT Dept of Public Utilities Electric C	ACTIVE
50720	Town of Wolfeboro Municipal Electric Department	ACTIVE
126479	TrailStone Energy Marketing, LLC	ACTIVE
50399	TransAlta Energy Marketing (U.S.) Inc.	ACTIVE
126652	Transgrid Midwest LLC	ACTIVE
133350	Transource New England, LLC	ACTIVE
51399	TrueLight Commodities, LLC	ACTIVE
51428	Twin Eagle Resource Management, LLC	ACTIVE
126716	UIL Distributed Resources LLC	ACTIVE
126796	Uncia Energy, LP - Series G	ACTIVE
89273	Union Atlantic Electricity	SUSPENDED
50366	Union of Concerned Scientists	ACTIVE
126488	Uniper Global Commodities North America LLC	ACTIVE
181	United Illuminating Company, The	ACTIVE
50308	Unitil Energy Systems, Inc.	ACTIVE
185	Unitil Power Corporation	ACTIVE
50925	University System of New Hampshire	ACTIVE
50944	University of Massachusetts at Amherst	ACTIVE
51051	Utility Services, Inc.	ACTIVE
126519	VECO Power Trading, LLC	ACTIVE
132951	Valcour Wind Energy, LLC	ACTIVE
51254	Verde Energy USA, Inc.	ACTIVE
133175	Verde Group, LLC	ACTIVE
50462	Vermont Department of Public Service	ACTIVE
50326	Vermont Electric Cooperative, Inc.	ACTIVE
182	Vermont Electric Power Company, Inc.	ACTIVE
51036	Vermont Electric Power Company, Inc. Local Control Ce	ACTIVE
50868	Vermont Energy Investment Corporation	ACTIVE
50289	Vermont Public Power Supply Authority	ACTIVE
50461	Vermont Public Utility Commission	ACTIVE
50853	Vermont Transco LLC	ACTIVE
51002	Vermont Wind, LLC	ACTIVE

2	Versant Power	ACTIVE
129782	Village of Hyde Park Electric Department	ACTIVE
128644	Vineyard Wind LLC	ACTIVE
51227	Viridian Energy, LLC	ACTIVE
128570	Viridity Energy Solutions Inc.	ACTIVE
51351	Vitol Inc.	ACTIVE
51062	WM Renewable Energy, L.L.C.	ACTIVE
183	Wakefield Municipal Gas & Light Department	ACTIVE
51220	Waterbury Generation LLC	ACTIVE
51252	Waterside Power, LLC	ACTIVE
129471	Wattifi Inc.	ACTIVE
115242	Weaver Wind, LLC	ACTIVE
50484	Wellesley Municipal Light Plant	ACTIVE
186	West Boylston Municipal Light	ACTIVE
187	Westfield Gas and Electric Department	ACTIVE
51115	Wheelabrator Bridgeport, L.P.	ACTIVE
50808	Wheelabrator North Andover Inc	ACTIVE
51173	Wolverine Holdings, L.P.	ACTIVE
129815	Woods Hill Solar, LLC	ACTIVE
51426	XOOM Energy, LLC	ACTIVE
128252	Yellow Jacket Energy, LLC	ACTIVE
89211	Yes Energy, LLC	ACTIVE
50803	Z-TECH LLC	ACTIVE
50952	eKapital Investments LLC	ACTIVE



NEW ENGLAND POWER POOL

Current Members

Pre-Printed Rosters:

Abie Grid Infrastructure Holdings, LLC
Acadia Center
Acadia Renewable Energy, L.L.C.
Acushnet Company
Advanced Energy Economy Inc.
AES Distributed Energy, Inc.
Aesir Power, LLC
Agesa Energy LLC
Algonquin Energy Services Inc
Algonquin Gas Transmission, LLC
Alpha Gas and Electric, LLC
Alphataraxa Nickel LLC
AM Trading Solutions, LLC
Ambit Northeast LLC
Ameresco CT LLC
American Petroleum Institute
American Power & Gas of MA, LLC
American PowerNet Management, LP
Aminpour, Farhad
Ampersand Energy Partners LLC
Anbaric Development Partners, LLC
Anthony, Christopher M.
Antrim Wind Energy LLC
Applan Way Energy Partners East, LLC
Archer Energy, LLC
Ashburnham Municipal Light Plant
Associated Industries of Massachusetts
Astral Energy LLC
Athens Energy LLC
Atlantic Energy MA, LLC
Avangrid Networks, Inc.
Avangrid Renewables, LLC
Axon Energy, LLC
Backyard Farms, LLC
Backyard Farms Energy, LLC
Bath Iron Works Corporation
Bear Swamp Power Company LLC
Belmont Municipal Light Department
Berkshire Power Company, LLC
Berlin Station, LLC
BioUja Power, LLC
Black Bear Hydro Partners, LLC
Blackstone Hydro, Inc.
Block Island Utility District
Bloom Conn. Clean Energy Co., LLC
Blueprint Power Technologies Inc.
Blue Sky East, LLC
Blue Sky West, LLC
Boston Energy Trading and Marketing
Boynton Municipal Light Department
BP Energy Company
Brantree Electric Light Department
Bridgeport Fuel Cell LLC
Bridgewater Power Company L.P.
Brookfield Energy Marketing Inc.
Brookfield Energy Marketing LP
Brookfield Renewable Energy Mkt'g US
Brookfield Renewable Trading and Marketing LP
Brookfield White Pine Hydro LLC
Brown Bear II Hydro, Inc.
Bruce Power, Inc.
BSW ProjectCo, LLC
Bucksport Generation LLC
Burlington Electric Department
C.N. Brown Electricity, LLC
Calpine Energy Services, LP
Calpine Energy Solutions, LLC
Canadigua Power Partners, LLC
Cape Light Compact JPE
Castleton Comm. Merchant Trading
Celtic Power Analytics, LLC
Central Maine Power Company
Central Rivers Power MA, LLC
Central Rivers Power NH, LLC
Centre Lane Trading Limited
Champion Energy Marketing LLC
Champlain VT, LLC
Cheshire Municipal Light Department
CHI Power Marketing, Inc.
Chokee Municipal Lighting Plant
Chokee Energy LLC
Clanbro Energy, LLC

Sector Roster

Dantzig Energy LLC
Danvers Electric Division
Darby Energy, LLC
Dartmouth Power Associates, LP
David Energy, LLC
DC Energy, LLC
Deepwater Wind Block Island LLC
Devon Power LLC
Devonshire Energy LLC
DFC ERC CT, LLC
Dichotomy Collins Hydro LLC
Direct Energy Business, LLC
Direct Energy Business
Marketing, LLC
Discount Power, Inc.
Dominion Energy Generation
Marketing, Inc.
Dominion Energy Nuclear
Connecticut, Inc.
DTE Energy Trading, Inc.
Dunham & Crowell Lumber Co., Inc.
DWW Solar II, LLC
Dynasty Power Inc.
Dynasty Marketing and Trade LLC
EDF Energy Services, LLC
EDF Trading North America, LLC
EDP Renewables North America LLC
EIP Investment, LLC
eKapital Investments LLC
Electricity Maine, LLC
Electricity N.H., LLC d/b/a ENH Power
Elektrisola, Inc.
Elgo Energy, LLC
Emera Energy Services Sub. No. 1 LLC
Emera Energy Services Sub. No. 2 LLC
Emera Energy Services Sub. No. 4 LLC
Emera Energy Services Sub.
No. 6 LLC
Emera Energy Services Sub.
No. 9 LLC
Emera Energy Services Sub
No. 12 LLC
Emera Energy Services sub
No. 15 LLC
Enel Trading North America, LLC
Enel X North America, Inc.
Energy Management, Inc.
Energy New England LLC
Energy Federation Inc.
Energy GPS LLC
Energy Harbor LLC
Energy Plus Holdings LLC
Energy Rewards, LLC
Energy Storage Resources, LLC
Enerwise Global Technologies, LLC
d/b/a CPower
Engelhart CTP (US) LLC
ENGIE Energy Marketing NA,
Inc.
ENGIE Power & Gas LLC
ENGIE Resources LLC
EnviroPower, Inc.
Environmental Defense Fund, Inc.
ESI Northeast Energy GP, Inc.
Essential Power
Massachusetts, LLC
Essential Power Newington,
LLC
ETC Endure Energy, LLC
Evergreen Wind Power II,
LLC
Evergreen Wind Power III, LLC
Eversource Energy Transmission
Ventures, Inc.
Everyday Energy, LLC
Excellerate Energy Limited Partnership
Exelon Generation Company, LLC
First Point Power, LLC
FirstLight Power Management, LLC
Fisher Road Solar I LLC
Fitchburg Gas and Electric Light Co.

Alphabetical (by Voting Member)

GSP Lost Nation LLC
GSP Merrimack LLC
GSP Newington LLC
GSP Schiller LLC
GSP White Lake LLC
H.Q. Energy Services (U.S.) Inc.
Hammond Belgrade Energy LLC
Hammond Lumber Company
Hampshire Power Corporation
Hancock Wind, LLC
Hanover, NH (Town of)
HARBORIDE Energy of Massachusetts
Hartree Partners, LP
Harvard Dedicated Energy Limited
High Liner Foods (USA) Incorporated
HIXO Energy, LLC
Hingham Municipal Lighting Plant
Holden Municipal Light Department
Holyoke Gas & Electric Department
Howard Wind LLC
Hudson Energy Services, LLC
Hudson Light and Power Department
Hull Municipal Lighting Plant
Icetec Energy Services, Inc.
IDT Energy, Inc.
Indeck Energy-Alexandria, LLC
Independence Energy Group LLC
Industrial Energy Consumer Group
Industrial Power Services Corporation
Inspire Energy Holdings, LLC
Interconnect Energy Storage
LLC
Interstate Gas Supply, Inc.
Invenery Energy Management LLC
Invenia Technical Computing Corp.
IPKeys Power Partners, Inc.
Ipswich Municipal Light Department
J. Aron & Company, LLC
J.F. Gray & Associates, LLC
Jericho Power LLC
Joso Energy MA LLC
Just Energy (U.S.) Corp.
KCE CT 1, LLC
KCE CT 2, LLC
Kendall Green Energy LLC
Kimberly-Clark Corporation
King Forest Industries, Inc.
Kleen Energy Systems, LLC
Kuser, Michael
Liberty Power Delaware LLC
Liberty Power Holdings, LLC
Liberty Utilities (Granite State Electric)
Littleton (MA) Electric Light Dept
Littleton (NH) Water and Light Dept
Long Island Lighting Co. d/b/a LIPA
Longreach Energy, LLC
Longwood Medical Energy
Collaborative, Inc.
MA Operating Holdings, LLC
Macquarie Energy, LLC
Macquarie Energy Trading LLC
Madison BTM, LLC
Madison Electric Works
MAG Energy Solutions, Inc.
Maine Power LLC
Maine Public Advocate Office
Maine Skiing, Inc.
Major Energy Electric Services
Manchester Methane, LLC
Manchester Municipal Electric Dept
Maple Energy LLC
Marble River, LLC
Marblehead Municipal Light Dept
Marco DM Holdings, LLC
Marie's Way Solar I, LLC
Mass Solar 1, LLC
Mass. Office of the Attorney General
Mass. Bay Transportation Authority
Mass. Development Finance Agency
Mass. Electric Company
Mass. Gas and Electric, Inc.
Mass. Municipal Wholesale Electric Co.
Mass. Port Authority
MATAP LLC

Alphabetical (2d RNA)

Nakor Energy Marketing
Narragansett Electric Company
National Gas & Electric, LLC
Natural Resources Defense Council
Nautilus Power, LLC
Nautilus Solar Energy, LLC
NDC Partners LLC
NEPM II, LLC
New Brunswick Energy Mktg. Corp.
New England Battery Storage, LLC
New England Confectionery Co. Inc.
New England Power Company
New England Wire Technologies Corp.
New Hampshire Electric Coop., Inc.
NH Office of Consumer Advocate
New Hampshire Transmission, LLC
New York State Electric & Gas, Inc.
NextEra Energy Marketing, LLC
NextEra Energy Maine, Inc.
NextEra Energy Resources, LLC
NextEra Energy Seabrook LLC
Nexus Energy Inc.
NGV US Transmission Inc.
Niagara Wind Power, LLC
NN8, LLC
Nordic Energy Services, LLC
North American Power and Gas, LLC
North Attleborough Electric Dept
North Stonington Solar Center, LLC
Northern States Power Company
Norwalk Power LLC
Norwood Municipal Light Department
NRG Curtailment Solutions, Inc.
NRG Power Marketing, LLC
NS Power Energy Marketing, Inc.
NSTAR Electric Company d/a/a
Eversource Energy
NTE Connecticut, LLC
Number Nine Wind Farm LLC
Nylon Corporation of America, Inc.
Oasis Power, LLC d/b/a Oasis Energy
Ontario Power Gen. Energy Trading
Ontario Power Generation Inc.
Orbit Energy Rhode Island, LLC
Pacific Summit Energy, LLC
Palmco Power MA, LLC d/b/a
Indra Energy
Pascoag Utility District
Pawtucket Power Holding Company
Paxton Municipal Light Department
Peabody Municipal Light Plant
Peninsula Power, LLC
Perigee Energy, LLC
Pioneer Hydro Electric Co., Inc.
Pixel Energy Services LLC
Plainfield Renewable Energy, LLC
Plant-E Corp.
PNE Energy Supply LLC
Power Ledger Pty. Ltd.
Power Supply Services, LLC
PowerOptions, Inc.
Praxair, Inc.
Princeton Municipal Light Department
Provider Power Mass, LLC
PSEG Energy Resources & Trade LLC
PSEG New Haven LLC
Public Power, LLC
Public Service Co. of New Hampshire
d/a/a Eversource Energy
Putnam Hydropower, Inc.
Rainbow Energy Marketing Corp.
RBC Energy Services LP
Reading Municipal Light Plant
Record Hill Wind LLC
ReEnergy Stratton LLC
Reliant Energy Northeast LLC
Renaissance Power & Gas, Inc.
Rensselaer Generating, LLC
Repsol Energy North American Corp.
Residents Energy, LLC
Revere Power, LLC
Rhode Island Engine Genco, LLC
Rhode Island State Energy Center, LP
Rocky Gorge Corporation

ISO-NE CAMS:

Saint Anselm College
Saracen Energy East LLC
Saracen Power LLC
Seneca Energy II, LLC
SFE Energy Massachusetts
LLC
Shell Energy North America (US) L.P.
Shipyards Brewing Co., LLC
Shipyards Energy LLC
Shrewsbury Electric & Cable Operations
Sky View Ventures LLC
SmartEnergy Holdings LLC
SocVolta Inc.
Somerset Power LLC
South Hadley Electric Light Department
South Jersey Energy Company
South Jersey Energy ISO3,
LLC
SP Transmission, LLC
Spark Energy, LLC
Springfield Power LLC
Spruce Mountain Wind, LLC
SRECTrade, Inc.
Stanton Energy, Inc.
Sterling Municipal Electric Light Dept
Stetson Holdings, LLC
Stetson Wind II, LLC
Stonepeak Kestrel Energy Mktg., LLC
Stored Solar J&WE, LLC
Stowe Electric Department
Summer Energy Northeast, LLC
Sunrun Inc.
Sunwave USA Holdings, Inc.
Sustaining Power Solutions LLC
SWEB Development USA, LLC
Synchrona Billerica, LLC
Synchrona Bondsville, LLC
Synchrona Hancock, LLC
Synchrona Lexington, LLC
Synchrona North Adams, LLC
SYSO LLC
Talen Energy Marketing, LLC
Tangent Energy Solutions, Inc.
Taunton Municipal Lighting Plant
TEC Energy, Inc.
Templeton Municipal Lighting Plant
Tenaska Power Management, LLC
Tenaska Power Services Co.
Texas Retail Energy, LLC
The Energy Consortium
Thordin AP5
Three Corners Solar LLC
Titan Gas LLC
Town Square Energy, LLC
TrailStone Energy Marketing, LLC
TransAlta Energy Marketing (U.S.) Inc.
Transource New England, LLC
TrueLight Commodities, LLC
Twin Eagle Resource Management, LLC
UIL Distributed Resources, LLC
Unica Energy, LP - Series G
Union Atlantic Electricity, Inc.
Union of Concerned Scientists, Inc.
Uniper Global Commodities North
America LLC
United Illuminating Company
Unki Energy Systems, Inc.
UNITIL Power Corp.
University of Massachusetts at Amherst
University System of New Hampshire
Utility Services, Inc.
Valcour Wind Energy, LLC
VECO Power Trading, LLC
Verde Energy USA, Inc.
Verde Group, LLC
Vermont Electric Cooperative
Vermont Electric Power Company, Inc.
Vermont Energy Investment Corp.
Vermont Public Power Supply Authority
Vermont Transco LLC
Vermont Wind
Versant Power
Village of Hyde Park (VT) Electric Dept.

<p>Citigroup Energy Inc. CleanChoice Energy, Inc. CLEAResult Consulting Inc. Clearview Electric Inc. Clearway Power Marketing LLC Commonwealth of Massachusetts (Div. of Capital Asset Management) Commonwealth Resource Management Corporation Community Eco Power, LLC Competitive Energy Services, LLC Concord Municipal Light Plant Conn. Central Energy, LLC Conn. Gas & Electric, Inc. Conn. Jet Power LLC Conn. Light and Power Company d/b/a Eversource Energy Conn. Materials Innovations & Recycling Authority Conn. Municipal Electric Energy Coop. Conn. Office of Consumer Counsel Conn. Transmission Municipal Electric Energy Coop. Conservation Law Foundation Consolidated Edison Co. of NY, Inc. Consolidated Edison Development, Inc. Consolidated Edison Energy, Inc. Consolidated Edison Solutions, Inc. Constellation NewEnergy, Inc. Convergent Energy and Power LP Covanta Energy Marketing, LLC CPV Towanick, LLC Cricket Valley Energy Center, LLC Cross-Sound Cable Company, LLC CS Berlin Ops, Inc. CWP Energy Inc. Cypress Creek Renewables, LLC</p>	<p>Footprint Power Salem Harbor Development FPL Energy Mason, LLC FPL Energy Wyman, LLC FPL Energy Wyman IV, LLC Freeport Commodities, LLC Fusion SolarCenter, LLC Galt Power Inc. Garland Manufacturing Company Garland Power Company Gas Recovery Systems, LLC GBE Power Inc. GenBright, LLC GenConn Energy LLC GenOn Canal LLC GenOn Energy Management, LLC Georges River Energy, LLC Georgetown Municipal Light Dep't Granite Reliable Power, LLC Gravity Renewables Inc. Great American Gas & Electric, LLC Great American Power, LLC Great Bay Power Marketing, Inc. Great River Hydro, LLC Green Berkshires, Inc. Green Development, LLC d/b/a Wind Energy Development, LLC Green Mountain Energy Company Green Mountain Power Green Power USA, LLC Grid Power Direct, LLC Groton Electric Light Department Group628, LLC Groveland Electric Light Department</p>	<p>McCallum Enterprises 1 LP Mega Energy Holdings, LLC Mercuria Energy America, LLC Merrill Lynch Commodities, Inc. Merrimac Municipal Light Department Messalonskee Stream Hydro, LLC Messer Energy Services, Inc. MidAmerican Energy Services, LLC Middleborough Gas and Electric Dep't Middletown Municipal Electric Dep't Middletown Power LLC Millennium Power Partners, LP Montville Power LLC Moore Company, The Moore Energy LLC Morgan Stanley Capital Group, Inc. MP2 Energy LLC MP2 Energy NE LLC MPower Energy LLC</p>	<p>Roctop Investments Inc. Rodan Energy Solutions (USA) Inc. Roseton Generating, LLC Rowley Municipal Light Plant RoxWind LLC RPA Energy Inc. d/b/a Green Choice Energy Rubicon NYP Corp. Russell Municipal Light Department</p>	<p>Vineyard Wind LLC Viridian Energy, LLC Viridity Energy Solutions, Inc. Vitol Inc. Wakefield Municipal Gas & Light Dep't Wallingford, CT, DPU, Electric Division Waterbury Generation LLC Waterside Power, LLC WATTIFI INC. Weaver Wind, LLC Wellesley Municipal Light Plant West Boylston Municipal Lighting Plant Westfield Gas & Electric Light Dep't Wheelabrator Bridgeport, LP Wheelabrator North Andover, Inc. Wm Renewable Energy, LLC Wolkeboro Municipal Electric Dep't Wolverine Holdings, LP Woods Hill Solar, LLC XOOM Energy LLC Yellow Jacket Energy, LLC Yes Energy, LLC Z-TECH, LLC</p>
--	---	--	--	--

Content copyright 2020. NEPOOL.COM. All rights reserved.

Attachment E

EDI Certification

(Puc 2006.01(k))

**Public Service Company of New Hampshire
(d/b/a) Eversource Energy**

Certificate of Completion

is hereby granted to:

Direct Energy Services, LLC

to certify that they have completed to satisfaction

NH EDI Connectivity and Certification Testing

Granted: 12/24/15

Aaron Downing

*Aaron Downing
Eversource Supplier Services*

Attachment F

States Business Conducted

(Puc 2006.01(n))

Jurisdictions of Operation – Direct Energy Services, LLC

Name: Direct Energy Services, LLC
Business Address: 12 Greenway Plaza, Suite 250, Houston, TX 77046
License #/State of Issuance: Docket # 06-03-06RE02 (Power)/Connecticut;
Registration # 01-04 (Gas)/Connecticut;
Case No. EA-05-3-5/Order No. 13816 (Power)/D.C.;
Certificate No. 6790 (Power)/Delaware;
Docket # 05-0722 (Power)/Illinois;
Docket # 05-0086 (Gas)/Illinois;
License # CS-047 (Power)/Massachusetts;
License # GS-028 (Gas)/Massachusetts;
License # IR-719 (Power)/Maryland;
License # IR-791 (Gas)/Maryland;
Case No. U-14537 (Gas)/Michigan;
License # ESL-0078 (Power)/New Jersey;
License # GSL-0088 (Gas)/New Jersey;
Letter Order 2019 (Power & Gas)/ New York;
License # DM 15-513 (Power)/ New Hampshire;
Certificate # 02-024G(9) (Gas)/Ohio;
Certificate # 00-19E(10) (Power)/Ohio;
License # A-110164 (Power)/Pennsylvania;
License # A-125135 (Gas)/Pennsylvania;
Docket # D-96-6(U2)(Power)/Rhode Island;
Docket # 2379(T1) (Gas)/Rhode Island;

States Not Currently Serving Customers

Case No. U-14724 (Power)/Michigan;
Docket # 2005-479 (Power)/Maine;
License # E-36 (Power)/Virginia

Direct Energy affiliates other than a regulated electricity or natural gas utility currently serving retail customers or engaged in the retail sale of electricity, or electricity supply services, or natural gas:

Name: Direct Energy Business, LLC
Business Address: 1001 Liberty Avenue Suite 1200, Pittsburgh, PA 15222

License #/State of Issuance: License # 1351 (Power)/California;
Docket # 00-05-14RE01 (Power)/Connecticut;
Certificate # 5267 (Power)/Delaware;
License # EA-04-4-4 (Power)/D.C.;
Docket No. 04-0811 (Power)/Illinois;
Docket No. 2011-201 (Power)/Maine;
License # IR-437 (Power)/Maryland;
License # CS-021 (Power)/Massachusetts;
License # GS-052 (Gas)/Massachusetts;
Docket # U-13609 (Power)/Michigan;
License # ESL-0165 (Power)/New Jersey;
License # DM 15-373 (Power)/New Hampshire;
Letter Order 2019 (Power & Gas) /New York
Certificate # 00-005(10) (Power)/Ohio;
License # A-110025 (Power)/Pennsylvania;
Docket # D-96-6(Z) (Power)/Rhode Island;
Certificate # 10011 (Power)/Texas
License # E-38 (Power)/Virginia

State Not Currently Serving Customers

License # IR-2697 (Gas)/Maryland;
License #0013 (Gas)/California;
Registration # 12-03 (Gas)/Connecticut;
License # GSL-0145 (Gas)/New Jersey;
License # A-125072 (Gas)/Pennsylvania;
Docket # 2379(A3) (Gas)/Rhode Island;

Name: Direct Energy Business Marketing, LLC
Business Address: 194 Wood Avenue South Suite 200, New Jersey, NJ 08830

License #/State of Issuance: License # 0031 (Gas)/California;
Registration # 13-03 (Gas)/Connecticut;
Docket # GA-2013-03-1 (Gas)/D.C.;
License # IR-3108 (Gas)/Maryland;
License # GS-051 (Gas)/Massachusetts;
DM 13-121 (Gas)/New Hampshire;
License # GSL0128 (Gas)/New Jersey;
Letter Order 2019 (Power & Gas)/New York;
Certificate # 13-303G(4) (Gas)/Ohio;
License A-2013-2365792 (Gas)/Pennsylvania;
Docket # 2379(Y2) (Gas)/Rhode Island;
License G-7 (Gas)/Virginia

States Not Currently Serving Customers:

Docket # 13-08-02 (Power)/Connecticut;
Docket # EA-2013-12 (Power)/D.C.;
Certificate No. 8425 (Power)/Delaware;
Docket # 2013-00404 (Power)/Maine;

License # IR-3123 (Power)/Maryland;
DM 13-260 (Power)/New Hampshire;
License # ESL0142 (Power)/New Jersey;
License A-2013-2368464 (Power)/Pennsylvania;
Docket # D-96-6(J6) (Power)/Rhode Island

Name: **Direct Energy, LP**
Business Address: 12 Greenway Plaza, Suite 250, Houston, TX 77046

License #/State of Issuance: Rep# 10040 (Power)/Texas

Name: **CPL Retail Energy, LP**
Business Address: 12 Greenway Plaza, Suite 250, Houston, TX 77046

License #/State of Issuance: Rep# 10023 (Power)/Texas

Name: **WTU Retail Energy, LP**
Business Address: 12 Greenway Plaza, Suite 250, Houston, TX 77046

License #/State of Issuance: Rep# 10022 (Power)/Texas

Name: **First Choice Power, LLC**
Business Address: 12 Greenway Plaza, Suite 250, Houston, TX 77046

License #/State of Issuance: Rep# 10008 (Power)/Texas

Name: **Gateway Energy Services Corporation**
Business Address: 12 Greenway Plaza, Suite 250, Houston, TX 77046

License #/State of Issuance: Case No. GA 03-4 (Gas)/D.C.;
License # A-2009-2137275 (Power)/Pennsylvania;
License # A-2009-2138725 (Gas)/Pennsylvania;
License # IR-334 (Gas)/Maryland;
License # IR-340 (Power)/Maryland;
License # GSL-0146 (Gas)/New Jersey;
License # ESL-0166(Power)/ New Jersey

Attachment G

Customer Complaints

(Puc 2006.01(0))

(enter applicable states/jurisdictions in row just below)	
---	--

Complaint Type													Total
	CT	DC	IL	MA	MD	NH	NJ	NY	OH	PA	RI	TX	
PUC	18	8	244	44	55	46	61	333	215	118	2	1143	2287
Total	18	8	244	44	55	46	61	333	215	118	2	1143	2287

Attachment H

Disciplinary Summary

(Puc 2006.01(q))

Summary of U.S. and Canadian Legal and/or Regulatory Proceedings

In the interest of full disclosure, certain Direct Energy entities have been the subject of legal and regulatory proceedings, which are summarized directly below with more detailed explanations following.

- Direct Energy Services, LLC has been the subject of legal and/or regulatory proceedings in Connecticut, Maryland, Michigan and New York.

Direct Energy Services, LLC: Connecticut

PUC Docket 13-07-17

Date of Resolution: May 1, 2019

In June 2013, the Connecticut Public Utilities Regulatory Authority ("PURA") opened an investigation into the trade practices of three electric suppliers in the state, which included Direct Energy ("Direct"). The PURA alleged that it had received numerous customer complaints regarding billing, slamming and quality of service against Direct. In October 2013, Direct answered a series of interrogatories issued by the PURA. PURA staff and the Office of Consumer Counsel propounded additional interrogatories in mid-2015, which Direct Energy has answered. The case remains open at After nearly six (6) years of regulatory review, PURA rendered a final decision in this proceeding in which the regulator issued a draft decision in February May 2019 that included a civil penalty of \$1.5 million, and a prohibition of new residential sales for six months and a one-year marketing audit oversight process that concludes on November 17, 2020. The final decision was made on 5/1/19 where the drafted penalty amount remain unchanged and also issued a prohibition in accepting new residential customers for six months outside of online enrollments. The penalty amount was paid on 5/8 and confirmed on 5/9 by Department of Energy and Environmental Protection. As an outgrowth of the PURA final decision, Direct Energy has ceased sales via the door-to-door sales channel, instituted additional internal controls and enhanced its sales quality assurance and oversight process with the its Sales Quality Framework. The Sales Quality Framework is an internal audit control that regularly monitors our vendor/subcontractor sales and marketing activities for all applicable sales channels, i.e., web-based, door-to-door, outbound telemarketing, in-bound telemarketing, etc.

Direct Energy Services, LLC has successfully concluded its one-year marketing audit oversight on November 17, 2020 without regulatory incident or impact.

PUC Docket 06-03-06RE03

Date of Resolution: August 26, 2015

In October 2014, the PURA re-opened Direct's licensing docket as a result of Direct's self-report regarding a process breakdown that resulted in a failure to send renewal notices to customers. The PURA intends to fully examine Direct's compliance with the Connecticut General Statutes, and determine if civil penalties will be applied. Direct had already begun to issue refunds to impacted customers when it submitted the information about its renewal notices.

Summary of U.S. and Canadian Legal and/or Regulatory Proceedings

Direct Energy Services, LLC: Maryland

On May 15, 2019 Staff of the Maryland Public Service Commission (MDPSC) filed a complaint against Direct Energy Services, LLC (Direct Energy) that they had violated Maryland law. The MDPSC then issued a Letter order on May 17, 2019 requiring Direct Energy to file an answer to the complaint by June 18, 2019 and to appear before a hearing on July 17th. On June 18th, Direct Energy submitted evidence within its answer requesting the complete and immediate dismissal of the Staff complaint. Prior to the July 17th hearing, the MD PSC has delegated this matter to the Public Utility Law Judge Division for a finding of whether a pattern or practice exists as described above and a new docket, Case No. 9614, has been created for this matter.

Direct Energy Services, LLC: Michigan

PUC Case U-14537

Date of Resolution: February 28, 2013

In February 2013, the Michigan Public Service Commission ("MPSC") issued an order accepting Direct Energy Services' ("Direct") self-report regarding allegations of slamming by field sales agents. Four agents purposefully manipulated Direct's quality assurance measures by impersonating customers for third-party verification calls, thereby fraudulently enrolling multiple small business accounts. In response to several slamming complaints received by the MPSC, Direct immediately terminated the agents, suspended sales and conducted a thorough investigation. Direct submitted a formal self-report regarding the violations of applicable anti-slamming laws and regulations, proposing remedial quality assurance measures, retraining of agents, implementation of paper contracting, regular quality meetings with MPSC staff and a charitable donation to a regional organization. MPSC accepted Direct's self-report without any changes to the proposed remedial actions, and after a six month suspension of small business field sales, MPSC approved Direct's restarting of field sales in the region.

PUC Case U-18121

Date of Resolution: January 12, 2017

On January 12, 2017, the Michigan Public Service Commission ("MPSC") issued an order relating to a settlement with Direct Energy Services, LLC ("DES"). The terms of the settlement agreement is that DES agrees to: (1) pay a fine of \$35,000 to be paid to the State of Michigan within 30 days of the Commission order approving the agreement; (2) continue a moratorium on door-to-door sales that began on November 1, 2016, that shall continue for 90 days following the date of the order approving this agreement; (3) submit new training materials within 45 days of the order approving this agreement with actual training of DES' Michigan agents to be completed within 90 days; (4) present Michigan Agency for Energy (MAE) Staff by March 31, 2017 with technologies that enhance customer identification and consent, and secure enrollment processes; (5) implement the Whitepages process described in Attachment 1 to the settlement agreement; (6) meet monthly with the MAE Staff for a period of 12 months to discuss progress with compliance with this settlement agreement and any other related matter; (7) develop with MAE Staff a template for processing complaints; and (8) reopen this docket should the MAE State Response Division identify any reoccurrence of the unauthorized switching of any customers by DES salesperson, which may result in fines, permanent moratorium on door-to-door sales and telemarketing, and or potential license revocation.

Direct Energy Services, LLC: New York

PUC Case 13-M-0224

Date of Resolution: Ongoing

In July 2013, the New York Public Service Commission ("PSC") issued a Show Cause Order to Direct Energy Services, LLC (operating through its subsidiary NYSEG Solutions, LLC (formerly NYSEG Solutions, Inc.)). In 2012, Direct had purchased NYSEG Solutions, Inc. from Iberdrola USA Solutions, Inc. ("Iberdrola"), an affiliate of Iberdrola, S.A., which owned the utility - New York State Electric and Gas Company ("NYSEG"). In the same year, Iberdrola entered into a Trademark License Agreement with Direct, whereby Direct was granted a non-exclusive, non-transferable, non-assignable license to use the NYSEG Solutions, Inc. name and marks for a period of up to five years. The Show Cause Order required Direct to show cause why the PSC should not prohibit its continued use of the name "NYSEG Solutions" when marketing to customers. The PSC's Order alleged that use of the name NYSEG Solutions would create an impression with consumers that they were dealing with the utility rather than with an affiliate of Direct Energy, and that subsequent disclaimers may not undo that association. Direct Energy filed its response in September 2013. Prior to the PSC's Show Cause Order, Direct had engaged in good faith negotiations with PSC Staff to add certain disclaimers to NYSEG Solutions' marketing materials and other notices. Staff approved the materials on an interim basis, and assured Direct that this interim period would continue until the PSC convened a generic proceeding to investigate all uses by energy service companies of the trade names and trademarks similar to those use by utilities regulated by the Commission. No such generic proceeding had been initiated when the Show Cause Order was issued. In April 2014, the PSC issued Discovery Requests to Direct, and Direct has submitted the information requested. The case remains open at the Commission.

Attachment I

In-Person Solicitation

of Residential Customers Summary

(Puc 2006.01(u))

In-Person Solicitation of Residential Customers Summary

Direct Energy Services, LLC does not intend to enroll residential customers through in-person solicitation at the customer's residence in the state of New Hampshire at this time.

Attachment J

Residential and

Small Commercial Customers Contracts

(Puc 2006.01(w))



We're glad you're with us.

P.O. Box 180
Tulsa, OK 74101-0180

John Adams
123 Main Rd
Weare, NH 03281

September 03, 2020

Hi John,

Thank you for choosing Direct Energy! By switching to us, you've joined thousands of New Hampshire customers who have selected Direct Energy.

You'll rest easy knowing that Direct Energy is one of the largest providers of natural gas, electricity and related services in North America, with more than 6 million customers, a service area spanning all 50 states, the District of Columbia and 10 Canadian provinces. This allows us to design plans that give you a range of options to meet your energy needs.

Enclosed you'll find everything you need to know about your Electric contract, including your rights as a customer and pricing information. We have received your contract and it is currently being processed with your utility. Following the acceptance of your enrollment, your service will begin within 1-2 billing cycles.

The bill you receive from your utility company will remain the same, but your Electric supply charge will now be from Direct Energy.

Questions?

If you have questions about your enrollment, visit directenergy.com or call us directly at **1-866-334-8084**. Our Customer Care team is available to assist you Monday through Friday from 8 a.m. - 8 p.m. EST and Saturday from 8 a.m. - 5 p.m. EST.

And again, thank you for choosing Direct Energy.

Sincerely,
Direct Energy



PRICE PROTECTION

Your new plan will begin within
1-2 billing cycles*.



CONTACT US

directenergy.com

1-866-334-8084

Monday through Friday from 8 a.m. -
8 p.m. EST and Saturday from 8 a.m.

*Service will begin depending on your billing cycle or utility territory, as determined by your local utility company. If Direct Energy does not appear on your bill after three months, please call 1-866-334-8084, Monday through Friday, 8:00 a.m. to 8:00 p.m. EST, and Saturday, 8:00 a.m. to 5:00 p.m. EST.

© 2020 Direct Energy. All Rights Reserved. Direct Energy and the Lightning Bolt design are registered trademarks or trademarks of Direct Energy Marketing Limited in the United States and/or Canada used under license, as applicable.

121285001804

Verify your information

Please verify that your name, address, utility company and utility account number shown on this letter are correct. If you need to update your information, please contact our Customer Care Department at 1-866-334-8084

Overview of Account**Your Account Information****Electric**

Eversource New Hampshire

Utility Account Number:

000000000

Service Address:

123 Main Rd

Weare, NH 03281

Account Breakdown**Terms and Conditions**

The Terms and Conditions are included in this package. Please review all the information contained in this package and retain it for your records.

Commodity: Electric

Rate plan selected: Fixed, \$0.07490

Effective through: 14 billing cycles

Early cancellation fee of: \$000.00

Rescission Notice: You have within 11 calendar days from the date you received this letter to rescind your decision to switch to Direct Energy. Please contact us at 1-866-334-8084, if you would like to rescind.

NEW HAMPSHIRE RESIDENTIAL & SMALL COMMERCIAL TERMS OF SERVICE

For Electric Supply Service

Direct Energy Service, LLC

1. Terms of Service. These Terms of Service together with the Disclosure Summary (defined below), which is incorporated herein by reference, constitute the agreement ("Agreement") between you and Direct Energy Services, LLC ("Direct Energy"), a Competitive Electric Power Supplier ("CEPS"). "Disclosure Summary" means, as applicable, either the section of the enrollment consent form/letter of authorization entitled '*Disclosure Summary*' or the Schedule A accompanying these Terms of Service entitled '*Disclosure Summary - Schedule A to Terms of Service*.'

2. Agreement to Purchase Electric Generation Service. This Agreement serves as the agreement for electric generation service to be provided to you by Direct Energy. This Agreement is subject to the occurrence of the following conditions: (a) your receipt of this Agreement from Direct Energy; (b) Direct Energy's acceptance of this Agreement; (c) your Electric Utility's ("Utility") acceptance of your enrollment; and (d) your applicable rescission period (see Section 6 below) having run. You agree to become a Direct Energy customer and appoint Direct Energy as your limited agent to perform the necessary tasks associated with your electric generation service and fulfill the terms of this Agreement. You authorize Direct Energy to obtain information from your Utility that includes your billing history, payment history, historical and future electricity usage, meter readings, and characteristics of electricity service. Direct Energy agrees to sell and deliver to you, and you agree to purchase and accept, the quantity of electricity delivered to you, as measured and/or estimated by your Utility, all in accordance with the terms and conditions set forth in this Agreement. Your electricity will be delivered to you by your Utility. You understand that as part of Direct Energy's process of qualifying you for this Agreement and continuing service under this Agreement, Direct Energy may investigate at any time and from time-to-time your credit history and choose to obtain a consumer credit report on you from a consumer-reporting agency. If Direct Energy learns that your credit, payment history, or account status is not satisfactory, Direct Energy may decline to provide retail electric service or any other service or product.

Please note the following: Direct Energy is licensed by the New Hampshire Public Utilities Commission (the "Commission") as a Competitive Electric Power Supplier to offer and supply electric generation services in New Hampshire. Direct Energy's license number is **DM 15-513**. Direct Energy sets the Generation Service Charges that you pay. This charge depends on the contract between the customer and the CEPS. This service is included in the price that Direct Energy charges you, as described in the Disclosure Summary. The Commission regulates electric distribution prices and services. The Federal Energy Regulatory Commission ("FERC") regulates electric transmission prices and services.

If you need more information about choosing a supplier, please refer to: the website at: <http://www.puc.state.nh.us/Consumer/consumer.htm>

3. Term of Agreement. The Initial Term (as defined in the Disclosure Summary) of this Agreement will begin on the meter read date that your CEPS is changed to Direct Energy by the Utility, and will continue for the number of monthly billing cycles set forth in the Disclosure Summary. This Agreement will be effective during the Initial Term and through any Renewal Periods (as defined in Section 5) subject to the cancellation provisions of Sections 6 through 8 below.

4. Pricing, Billing and Payment Terms. During the Initial Term, you will pay Direct Energy for your Electric Generation Service at the price set forth in the Disclosure Summary. The Initial Term Rate is for Electric Generation Service but excludes other taxes and regulated charges from the utility, including but not limited to, the price of transmission and distribution, the system benefits charge, stranded cost recovery charge, taxes, and any other delivery and distribution charges. Electric generation service prices of CEPS such as Direct Energy are set competitively and are not regulated by the Commission. As to billing and payment terms, your Utility will send you a bill monthly (or on such other frequency as it may determine) and that bill will contain, among other charges, Direct Energy's Generation Service Charges for the amount of electricity you used during the billing cycle. That amount will be measured or estimated by the Utility. **Depending on the date of the meter read date, your bill from the Utility may be prorated. The prorated billing is a technique for applying standard methods to nonstandard billing periods. A billing period that is shorter or longer than the Utility's designated billing period days will have prorated charges based on a 30-day average for the applicable rate.** Your payment will be due to the Utility

by the date specified in the Utility bill. If you fail to pay it on time, you could be subject to interest and late charges imposed by the Utility, and your service could be disconnected. Your Utility may offer you budget, levelized or other payment plans.

5. Renewal and Notice of a Change to this Agreement. Prior to the expiration date of the Initial Term, you will receive a written notification explaining the proposed changes to the terms of service and your options going forward. If you find the change(s) unacceptable, you may choose another supplier or return to Utility service without any penalty to you. If you do not respond to the notifications, your service with Direct Energy will continue under the new terms and the Agreement, as amended, will automatically renew on a month-to-month basis or to another fixed term contract, ("Renewal Period") as set forth in the notifications, with no early cancellation fee or device cost recovery fee.

(a) *Month-to-Month Basis.* If during a Renewal Period, you receive electric service from Direct Energy on a month-to-month basis as set forth in the Notification, the rate for electricity will be a variable rate that may be higher or lower each month and will be set in Direct Energy's sole discretion. Direct Energy typically considers the following factors when setting variable rates:

- publically available competitor pricing;
- strategic business objectives;
- customer retention or attrition;
- market volatility or uncertainty;
- anticipated customer usage;
- the cost of procuring power including wholesale prices, ancillary service costs, capacity auctions, utility fees, transmission and distribution losses and storage costs;
- weather, supply congestion and infrastructure issues;
- legal or regulatory issues; and
- profit margin.

This list of factors is not exhaustive and no single factor will determine the rate. Some factors must be estimated or projected and the factors Direct Energy considers may be weighed differently each month. Direct Energy does not include other costs, including but not limited to, the price of transmission and distribution, the system benefits charge, stranded cost recovery charge, and taxes. Direct Energy may amortize sudden cost increases over multiple billing cycles so that its customers do not bear the burden of such increases in a single month. In addition, Direct Energy seeks

to acquire the majority of its anticipated electricity supply in advance rather than from the spot market. **For all of these reasons, the variable rate may not correlate with changes in wholesale market prices or your local utility's rates.** In addition, the variable rate may be higher than your local utility rate or other suppliers' rates. You will not know the variable price until the first bill after renewal is issued. It may take one or more billing cycles for a price variation to become effective.

(b) *Fixed Term Contract.* If during a Renewal Period, you receive electric service from Direct Energy on a fixed term contract as set forth in the Notification, then Direct Energy will charge you for all electricity billed by your Utility at a fixed rate per kWh as set forth in the Notification and the Disclosure Summary provided to you at that time.

6. Your Right to Rescind or Cancel Service. You have eleven (11) business days from your receipt of this agreement to rescind your enrollment with Direct Energy ("Rescission Period") without penalty by contacting Direct Energy at the phone number provided in Section 14 below. After your service begins, you may cancel it at any time during the Initial Term, but you will be required to pay an early cancellation fee and/or device cost recovery fee as set forth in the Disclosure Summary. If an early cancellation fee and/or device cost recovery fee is imposed, you agree to pay it at the time of your request to cancel this Agreement, but no later than ten (10) days after the date you receive notice to pay it.

7. Direct Energy's Right to Cancel Service. You are affirming to Direct Energy that you have provided Direct Energy with your correct and complete name, address and contact information and you do not have any outstanding balance with Direct Energy. If there is any evidence that any of these statements are or become untrue, or you otherwise provide fraudulent or misrepresented information, Direct Energy can cancel this Agreement immediately. Also, if for any reason performance of this Agreement becomes materially uneconomical to Direct Energy, or if Direct Energy is otherwise unable to continue this Agreement, Direct Energy can cancel this Agreement after giving you at least fourteen (14) business days' advance written notice prior to the termination. If Direct Energy cancels this Agreement, you must still pay all Direct Energy charges through the date you are switched to another CEPS or returned to the Utility for service. Your cancellation will not be effective until the next regularly scheduled meter-reading date following the date on

which Direct Energy gives notice to the Utility of your cancellation request. If you enroll (or attempt to enroll) and Direct Energy determines that you do not have a smart meter, then Direct Energy will have the right to cancel this Agreement (or cancel your enrollment with Direct Energy).

8. Relocation. You should notify both your Utility and Direct Energy of a change in your residence/service location at least fourteen (14) days before such change. A final meter read will be made at your old address and your account will be finalized by both your Utility and Direct Energy. In the event you relocate outside of your Utility's service territory, you may terminate this Agreement without penalty by providing fourteen (14) days' prior notice to Direct Energy, either in writing or by phone. If you change your residence/service location within your Utility's service territory, then you may have the option of entering into a new electricity supply agreement with Direct Energy for your new residence/service location. Direct Energy's contact information is set forth in Section 14 below. If you cancel this Agreement, you will be responsible to pay for Direct Energy's service through the date that you are switched to another supplier or returned to your Utility. In the event that you move to another service address where Direct Energy provides service, and you cancel this Agreement, you will be subject to the early cancellation fee.

9. Disputes. You should contact Direct Energy regarding any dispute related to this Agreement. Direct Energy will attempt to resolve the dispute in an efficient, fair and timely manner. Direct Energy will provide an acknowledgment to your dispute within a reasonable time after receipt. Direct Energy will then issue a report within thirty (30) days after initiation of the dispute. Direct Energy will report to you the results of its investigation of the dispute, and that report will be available to you upon request. If you are not satisfied after discussing your terms of service with Direct Energy, you may contact the Commission. The contact information for both Direct Energy and the Commission is set forth in Section 14 below.

10. Title to Electricity. Title to the electricity will pass from Direct Energy to you when it is delivered by Direct Energy to the Utility.

11. Force Majeure. Direct Energy will make commercially reasonable efforts to provide your electric service, but does not guarantee a continuous supply of electricity. Certain causes and events out of Direct Energy's reasonable control ("Force Majeure Events") may result in interruptions in service. Direct Energy is not responsible for transmitting or

distributing electricity. Direct Energy is not liable for damages caused by acts of God, changes in laws, rules, or regulations or other acts of any governmental authority (including the Commission or NEPOOL ISO Interconnection), accidents, strikes, labor troubles, required maintenance work, inability to access the local distribution utility system, nonperformance by the Utility or any other cause beyond Direct Energy's reasonable control.

12. Limitations of Liabilities. LIABILITIES NOT EXCUSED BY REASON OF FORCE MAJEURE OR OTHERWISE SHALL BE LIMITED TO DIRECT ACTUAL DAMAGES. DIRECT ENERGY WILL NOT BE LIABLE TO YOU OR ANY THIRD PARTY FOR CONSEQUENTIAL, INCIDENTAL, PUNITIVE, EXEMPLARY OR INDIRECT DAMAGES. DIRECT ENERGY IS NOT LIABLE FOR INTERRUPTIONS TO, OR SHORTAGES OF, ELECTRICITY SUPPLY, NOR ANY ASSOCIATED LOSS OR DAMAGE RESULTING THEREFROM. THESE LIMITATIONS APPLY WITHOUT REGARD TO THE CAUSE OF ANY LIABILITY OR DAMAGE. THERE ARE NO THIRD-PARTY BENEFICIARIES TO THIS AGREEMENT.

13. Direct Energy's Representations and Warranties; Limitation. THE ELECTRICITY PROVIDED UNDER THIS AGREEMENT WILL MEET THE QUALITY STANDARD OF THE APPLICABLE UTILITY AND WILL BE SUPPLIED FROM A VARIETY OF ELECTRIC GENERATION SOURCES INCLUDING THE ELECTRICITY PROVIDED PURSUANT TO ANY RENEWABLE ENERGY PRODUCT REQUIREMENT. DIRECT ENERGY MAKES NO REPRESENTATIONS OR WARRANTIES OTHER THAN THOSE EXPRESSLY SET FORTH IN THIS AGREEMENT. DIRECT ENERGY EXPRESSLY DISCLAIMS ALL OTHER WARRANTIES, EXPRESSED OR IMPLIED, INCLUDING WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.

14. Contact Information.

Direct Energy: You may contact Direct Energy in one of the following ways: (a) call 1-866-334-8084 or at 1-844-827-0609 (Residential Door-to-Door customers only), Monday through Friday 8:00 a.m. to 8:00 p.m. EST, and Saturday 8:00 a.m. to 5:00 p.m. EST (contact center hours subject to change without notice); or (b) write to Direct Energy at PO BOX 180, Tulsa, OK 74101-0180 (be sure to include your account number(s)).

Direct Energy's internet domain address is: www.directenergy.com. Information on generation energy sources, energy efficiency, environmental

impacts or historical billing data is available from Direct Energy upon request.

Your Utility (in case of emergency, including electric outage): Please refer to the Disclosure Summary for your Utility's contact information.

The Commission: You may contact the New Hampshire Public Utilities Commission, with questions about your rights and responsibilities or otherwise, as follows: (a) call: 1-603-271-2431; Consumer Assistance: 1-800-852-3793; TDD Access-Relay NH: 1-800-735-2964; (b) write: 21 South Fruit Street, Suite 10, Concord, N.H. 03301-2429; (c) Fax: 1-603-271-3878; or (d) email: puc@puc.nh.gov.

Assistance Program: Your Utility may have programs available to customers who are on a limited or fixed income to assist them with utility bills. Some of these programs might include bill payment assistance and weatherization services. Information on your Utility's assistance program, if any, can be obtained by contacting your Utility at the number listed on the Disclosure Summary, contacting the New Hampshire Public Utilities Commission, or by contacting one of the following programs: Electric Assistance Program; Gas Residential Low Income Assistance Program; Fuel Assistance Program; Weatherization Assistance Program; Neighbor Helping Neighbor; or Project CARE.

15. Choice of Law. You agree that this Agreement shall be governed by New Hampshire law, without regard to its conflict of law principles.

16. Taxes. Except as otherwise provided in this Agreement or required by law, all taxes of whatsoever kind, nature and description, due and payable with respect to your performance of your obligations under this Agreement, will be paid by you.

17. Privacy Policy. Direct Energy may not release your electricity billing, payment and credit information without your prior written consent; however, Direct Energy is permitted to disclose to any party, including the Utility, any such information for the purpose of facilitating billing, bill collection and credit reporting. Further, except as described below, Direct Energy may not release your other proprietary personal information to any other person without your prior written consent. Other proprietary personal information means your name, address, choice ID, type or classification of service, historical electricity usage (actual or profiled by your Utility), current electricity usage, expected patterns of use, types of facilities receiving service and individual Agreement information. These prohibitions do not apply to the release of your information under certain circumstances: as required by law, including

release to the Commission; as required by court order or the Commission, as required by law enforcement agencies or your Utility. Finally, Direct Energy may also share your other proprietary personal information with its affiliates or a third party for the purpose of, or in connection with, the development, operation, maintenance, marketing, selling or evaluating Direct Energy's or any of its affiliates' products or services, including this generation service if you have provided your written consent to the release of such information. The National Do Not Call Registry is managed by the Federal Trade Commission (FTC), the nation's consumer protection agency. For information on how to be added to the list, please visit the FTC website: www.donotcall.gov or call 1-888-382-1222.

18. Assignment. You may not assign this Agreement without Direct Energy's prior written consent. Direct Energy may: (a) transfer or sell this Agreement or your account in connection with any financing; (b) transfer this Agreement to any of its affiliates; (c) transfer or assign this Agreement to anyone succeeding to all or substantially all of Direct Energy's assets or business; and (d) transfer this Agreement to another supplier licensed by the Commission by sending you advance written notice at least fourteen (14) days before the effective date of the assignment. After assignment, Direct Energy will have no further obligations under this Agreement. This Agreement is binding upon you and Direct Energy, and will further bind each of your successors and permitted assigns.

19. Miscellaneous Provisions. This Agreement is subject to applicable laws and supersedes any previous promises, understandings and agreements. If any provision of this Agreement is deemed invalid, illegal or otherwise unenforceable, you agree that it shall be modified to the minimum extent necessary to render it valid, legal and enforceable. If a provision cannot be modified in a manner that would make it valid, legal and enforceable, the provision shall be severed from the Agreement, and all other provisions shall remain in full force and effect. Any failure on our part to enforce any of the terms of this Agreement or to exercise any right under such terms shall not be considered a waiver of Direct Energy's right to enforce each and every such term, exercise such right, or exercise any other right under this Agreement.



Direct Energy Services, LLC ~ Toll-Free Phone: 1-888-334-8084
www.directenergy.com ~ csdirectenergy@directenergy.com

You may access Direct Energy's Environmental Disclosure label associated with your account via the link shown below:

<https://www.directenergy.com/nh/customer-support>

This information is updated periodically following the requirements of the New Hampshire Public Utilities Commission.

**Direct Energy.**

Direct Energy Services, LLC ~ Toll-Free Phone: 1-844-827-0609~PO Box 180
Tulsa, OK 74101-0180 ~ www.directenergy.com
csdirectenergy@directenergy.com

SCHEDULE A TO TERMS AND CONDITIONS**DISCLOSURE SUMMARY**

Electric supply service in

EVERSOURCE NEW HAMPSHIRE

PRODUCT NAME	State Site- Live Brighter 14 Months at 7.49c/kWh; \$0 cancellation fee		
LENGTH OF THE AGREEMENT	14 monthly billing cycles.		
FIXED PER KWH PRICE	\$0.07490/KWH		
CHARGES	Your bill will be calculated using the rate per kilowatt hour multiplied by kilowatt hours used.		
FIXED PRICE RESIDENTIAL CUSTOMERS WHO USE	500 kWh	1000 kWh	1500 kWh
WILL PAY	0.07490	0.07490	0.07490
ENVIRONMENTAL CHARACTERISTICS	No.		
EARLY TERMINATION FEE	No.		
LATE PAYMENT FEE	No.		
RENEWAL TERMS	At the end of the Initial Term, the Agreement will either automatically renew on a month-to-month basis at a variable price per kWh with no early cancellation fee or at a new fixed rate depending on the plan selected unless the agreement is terminated or transferred within five (5) days following the renewal notice. See Terms and Conditions for additional details.		
ELECTRIC ASSISTANCE PROGRAM	Customers who receive a benefit from the Electric Assistance Program (EAP) will no longer receive the EAP discount on the energy supply portion of their bill.		

Your Utility will remain responsible for the delivery of power to your home and will continue to respond to any service calls and emergencies. Switching to Direct Energy will not impact the reliability of your electric service. Your Utility will continue to bill you on their regular billing cycles, and their bill will include the charges under your Agreement with Direct Energy. Your payments will be due as set out in those bills.



Direct Energy.

Direct Energy Services LLC
12 Greenway Plaza, Ste. 250
Houston, TX 77046

Environmental Information for Electricity offered by Direct Energy Services, LLC

Electric Suppliers are required by the New Hampshire Public Utilities Commission to provide customers with an environmental disclosure label with information to evaluate services offered by competitive suppliers and electric utilities, and to provide information about the environmental and public health impacts of electric generation. Further information can be obtained by calling your electric utility or competitive electric supplier, or by contacting the Public Utilities Commission. Additional information on disclosure labels is also available at <http://www.puc.nh.gov> or on your electric provider's website.

Energy Source (Fuel Mix)

*Direct Energy Services, LLC used the following energy resources to supply electricity in
New Hampshire for the period January 1, 2018 through December 31, 2018*

<u>Power Source</u>	<u>Percentage</u>	<u>New England Regional Avg.</u>
Biomass	1.97%	1.97%
Coal	8.28%	8.28%
Hydro	6.94%	6.94%
Imported Power	0.21%	0.21%
Landfill Gas	.55%	.55%
Municipal Trash	2.98%	2.98%
Natural Gas	35.39%	35.39%
Nuclear	28.33%	28.33%
Oil (Diesel & Jet)	7.27%	7.27%
Other Renewable	0.68%	0.68%
Solar	2.92%	2.92%
Wind	3.10%	3.10%
Wood	1.40%	1.40%
Total	100.00%	100.00%

Air Emissions

*Carbon Dioxide (CO₂), Nitrogen Dioxide (NO₂) and Sulfur Dioxide (SO₂) emission rates from
these sources relative to the regional average.*

<u>Emission Type</u>	<u>Pounds per MWh</u>	<u>% Of Regional Average</u>
Nitrogen Oxides (NO _x)	0.95	100%
Sulfur Dioxide (SO ₂)	3.01	100%
Carbon Dioxide (CO ₂)	1,097.71	100%

For assistance, and/or additional information about this label, please contact DES's Customer Care Center at (888) 305-3828 or the New Hampshire Public Utilities Commission at (800) 852-3793.

Definitions

Power Sources: The electricity you consume comes from the New England power grid, which receives power from a variety of power plants and transmits the power as needed to meet the requirements of all customers in New England. When you choose a power supplier, that supplier is responsible for generating and/or purchasing power that is added to the power grid in an amount equivalent to your electricity use. "Known Resources" include resources that are owned by, or under contract to, the supplier. "System Power" represents power purchased in the regional electricity market. Electric suppliers are required to obtain a certain amount of renewable energy in accordance with RSA 362-F, the state's renewable portfolio standard law. They may also choose to obtain amounts of renewable energy above their legal obligation, and utilities must also offer a renewable energy option to allow customers to choose to support the purchase of renewable energy by the utility.

Emissions: Carbon Dioxide (CO₂) is released when fossil fuels (e.g., coal, oil and natural gas) are burned. CO₂, a greenhouse gas, is a major contributor to climate change.

Nitrogen Oxides (NO_x) form when fossil fuels and biomass are burned at high temperatures. They contribute to acid rain and ground-level ozone (or smog), and may cause respiratory illness with frequent high level exposure. NO_x also contribute to oxygen deprivation of lakes and coastal waters which is destructive to fish and other animal life.

Sulfur Dioxide (SO₂) is formed when fuels containing sulfur are burned, primarily coal and oil. Major health effects associated with SO₂ include asthma, respiratory illness and aggravation of existing cardiovascular disease. SO₂ combines with water and oxygen in the atmosphere to form acid rain, which raises the acid level of lakes and streams, and accelerates the decay of buildings and monuments.

Attachment K

Financial Security

(Puc 2003.01(b)(2))

BOND
(License or Permit - Definite Term)

Bond No. 8219-02-25

KNOW ALL MEN BY THESE PRESENTS:

THAT WE, Direct Energy Services, LLC, as Principal, and Federal Insurance Company, a corporation duly incorporated under the laws of the State of IN and authorized to do business in the state of New Hampshire, as Surety, are held and firmly bound unto New Hampshire Public Utilities Commission as Obligee, in the penal sum of Five Hundred Thousand Dollars and 00/100 (\$500,000.00) Dollars, for the payment of which we hereby bind ourselves, our heirs, executors and administrators, jointly and severally, firmly by these presents.

WHEREAS, the Principal has obtained or is about to obtain a license or permit for Competitive Electric Power Supplier License, New Hampshire.

NOW, THEREFORE, THE CONDITIONS OF THIS OBLIGATION ARE SUCH, that if the Principal shall faithfully comply with all applicable laws, statutes, ordinances, rules or regulations, pertaining to the license or permit issued, then this obligation shall be null and void; otherwise to remain in full force and effect. The New Hampshire Public Utilities Commission may draw upon this bond if and when the Company has failed to make required payment(s) and/or payment arrangements in accordance with the terms and conditions of an order by the New Hampshire Public Utilities Commission.

This bond is for a definite term beginning December 9, 2020, and ending June 9, 2024, and may be continued at the option of the Surety by Continuation Certificate. This bond shall include a six (6) month extended claims, draws or demand period.

PROVIDED, that regardless of the number of years this bond is in force, the Surety shall not be liable hereunder for a larger amount, in the aggregate, than the penal sum listed above.

PROVIDED FURTHER, that the Surety may terminate its liability hereunder as to future acts of the Principal at any time by giving thirty (30) days written notice of such termination to the Obligee.

SIGNED, SEALED AND DATED this December 2, 2020.

Direct Energy Services, LLC

By: _____ Principal



Federal Insurance Company

By: [Signature] Attorney-in-Fact
Carlos Albelo

CHUBB

Power of Attorney

Federal Insurance Company | Vigilant Insurance Company | Pacific Indemnity Company
Westchester Fire Insurance Company | ACE American Insurance Company

Know All by These Presents, that **FEDERAL INSURANCE COMPANY**, an Indiana corporation, **VIGILANT INSURANCE COMPANY**, a New York corporation, **PACIFIC INDEMNITY COMPANY**, a Wisconsin corporation, **WESTCHESTER FIRE INSURANCE COMPANY** and **ACE AMERICAN INSURANCE COMPANY** corporations of the Commonwealth of Pennsylvania, do each hereby constitute and appoint **Carlos A. Albello, Stephanie Gunderson and David Stephen Wightman** of Houston, Texas

each as their true and lawful Attorney-in-Fact to execute under such designation in their names and to affix their corporate seals to and deliver for and on their behalf as surety thereon or otherwise, bonds on behalf of contractors in connection with bids, proposals or contracts to the Nebraska Department of Transportation in an unlimited amount.

It is agreed that the power and authority hereby given to the Attorney-in-Fact cannot be modified or revoked unless prior written personal notice of such intent is received by the Nebraska Department of Transportation at least thirty days prior to the modification or revocation.

In Witness Whereof, said **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY** and **ACE AMERICAN INSURANCE COMPANY** have each executed and attested these presents and affixed their corporate seals on this **12th** day of **November, 2019**.

Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary

Stephen M. Haney

Stephen M. Haney, Vice President



STATE OF NEW JERSEY
County of Hunterdon

ss.

On this **12th** day of **November, 2019**, before me, a Notary Public of New Jersey, personally came Dawn M. Chloros and Stephen M. Haney, to me known to be Assistant Secretary and Vice President, respectively, of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY** and **ACE AMERICAN INSURANCE COMPANY**, the companies which executed the foregoing Power of Attorney, and the said Dawn M. Chloros and Stephen M. Haney, being by me duly sworn, severally and each for herself and himself did depose and say that they are Assistant Secretary and Vice President, respectively, of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY** and **ACE AMERICAN INSURANCE COMPANY** and know the corporate seals thereof, that the seals affixed to the foregoing Power of Attorney are such corporate seals and were thereto affixed by authority of said Companies; and that their signatures as such officers were duly affixed and subscribed by like authority.

Notarial Seal



KATHERINE J. ADELAAR
NOTARY PUBLIC OF NEW JERSEY
No. 2316885
Commission Expires July 16, 2024

Katherine J. Adelaar

Notary Public

CERTIFICATION

Resolutions adopted by the Boards of Directors of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY** on August 30, 2016; **WESTCHESTER FIRE INSURANCE COMPANY** on December 11, 2006; and **ACE AMERICAN INSURANCE COMPANY** on March 20, 2009:

"RESOLVED, that the following authorizations relate to the execution, for and on behalf of the Company, of bonds, undertakings, recognizances, contracts and other written commitments of the Company entered into in the ordinary course of business (each a "Written Commitment"):

- (1) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise.
- (2) Each duly appointed attorney-in-fact of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise, to the extent that such action is authorized by the grant of powers provided for in such person's written appointment as such attorney-in-fact.
- (3) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to appoint in writing any person the attorney-in-fact of the Company with full power and authority to execute, for and on behalf of the Company, under the seal of the Company or otherwise, such Written Commitments of the Company as may be specified in such written appointment, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
- (4) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to delegate in writing to any other officer of the Company the authority to execute, for and on behalf of the Company, under the Company's seal or otherwise, such Written Commitments of the Company as are specified in such written delegation, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.
- (5) The signature of any officer or other person executing any Written Commitment or appointment or delegation pursuant to this Resolution, and the seal of the Company, may be affixed by facsimile on such Written Commitment or written appointment or delegation.

FURTHER RESOLVED, that the foregoing Resolution shall not be deemed to be an exclusive statement of the powers and authority of officers, employees and other persons to act for and on behalf of the Company, and such Resolution shall not limit or otherwise affect the exercise of any such power or authority otherwise validly granted or vested."

I, Dawn M. Chloros, Assistant Secretary of **FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY** and **ACE AMERICAN INSURANCE COMPANY** (the "Companies") do hereby certify that

- (i) the foregoing Resolutions adopted by the Board of Directors of the Companies are true, correct and in full force and effect,
- (ii) the foregoing Power of Attorney is true, correct and in full force and effect.

Given under my hand and seals of said Companies at Whitehouse Station, NJ, this

December 2, 2020.



Dawn M. Chloros

Dawn M. Chloros, Assistant Secretary

IN THE EVENT YOU WISH TO VERIFY THE AUTHENTICITY OF THIS BOND OR NOTIFY US OF ANY OTHER MATTER, PLEASE CONTACT US AT:
Telephone (908) 903-3493 Fax (908) 903-3656 e-mail: surety@chubb.com

Financial Statements

Direct Energy Services, LLC, is an indirect wholly owned subsidiary of Centrica plc. The Annual Report and other relevant filings of Centrica plc are too voluminous to be reproduced herein. As such, Summary Financial Statements for years 2019 is included for reference. In addition, the full Annual Report of Centrica plc can be accessed at the following web pages:

2019 - <https://www.centrica.com/investors/results-centre/annual-report-2019/>

Group Balance Sheet

	Notes	31 December 2019 £m	31 December 2018 £m
Non-current assets			
Property, plant and equipment	13	3,133	4,124
Interests in joint ventures and associates	14	1,306	1,661
Other intangible assets	15	1,455	1,720
Goodwill	15	2,578	2,736
Deferred tax assets	16	553	532
Trade and other receivables, and contract-related assets	17	154	119
Derivative financial instruments	19	493	537
Retirement benefit assets	22	56	223
Securities	24	131	239
		9,859	11,891
Current assets			
Trade and other receivables, and contract-related assets	17	4,839	5,543
Inventories	18	431	459
Derivative financial instruments	19	1,320	1,141
Current tax assets		115	187
Securities	24	124	68
Cash and cash equivalents	24	1,342	1,268
		8,171	8,666
Assets of disposal groups classified as held for sale	12	124	–
		8,295	8,666
Total assets		18,154	20,557
Current liabilities			
Derivative financial instruments	19	(1,854)	(1,136)
Trade and other payables, and contract-related liabilities	20	(5,533)	(6,207)
Current tax liabilities		(339)	(360)
Provisions for other liabilities and charges	21	(284)	(305)
Bank overdrafts, loans and other borrowings	24	(857)	(374)
		(8,867)	(8,382)
Liabilities of disposal groups classified as held for sale	12	(18)	–
		(8,885)	(8,382)
Non-current liabilities			
Deferred tax liabilities	16	(151)	(384)
Derivative financial instruments	19	(291)	(430)
Trade and other payables, and contract-related liabilities	20	(152)	(191)
Provisions for other liabilities and charges	21	(2,175)	(2,540)
Retirement benefit obligations	22	(219)	(302)
Bank loans and other borrowings	24	(4,486)	(4,380)
		(7,474)	(8,227)
Total liabilities		(16,359)	(16,609)
Net assets		1,795	3,948
Share capital	25	360	354
Share premium		2,330	2,240
Retained earnings		(869)	725
Other equity	S4	(609)	(174)
Total shareholders' equity		1,212	3,145
Non-controlling interests	S11	583	803
Total shareholders' equity and non-controlling interests		1,795	3,948

Financial statements

Group Statement of Changes in Equity

	Share capital £m	Share premium £m	Retained earnings £m	Other equity £m	Total £m	Non-controlling interests £m	Total equity £m
1 January 2018	348	2,121	1,184	(950)	2,703	729	3,432
Adjustment on adoption of IFRS 9	–	–	28	(28)	–	–	–
Profit for the year	–	–	183	–	183	59	242
Other comprehensive income	–	–	–	770	770	1	771
Employee share schemes	–	–	3	27	30	–	30
Scrip dividend	6	119	–	–	125	–	125
Dividends paid to equity holders (note 11)	–	–	(673)	–	(673)	–	(673)
Other	–	–	–	7	7	14	21
31 December 2018	354	2,240	725	(174)	3,145	803	3,948
Loss for the year	–	–	(1,023)	–	(1,023)	(80)	(1,103)
Other comprehensive loss	–	–	–	(488)	(488)	(16)	(504)
Employee share schemes and other share transactions	–	–	(10)	53	43	–	43
Scrip dividend (note 11)	6	90	–	–	96	–	96
Dividends paid to equity holders (note 11)	–	–	(561)	–	(561)	–	(561)
Distributions to non-controlling interests	–	–	–	–	–	(124)	(124)
31 December 2019	360	2,330	(869)	(609)	1,212	583	1,795

Group Income Statement

Year ended 31 December	Notes	2019			2018 (restated) @		
		Business performance £m	Exceptional items and certain re-measurements £m	Results for the year £m	Business performance £m	Exceptional items and certain re-measurements £m	Results for the year £m
Group revenue #	4	26,825	(4,151)	22,674	27,381	(4,077)	23,304
Cost of sales #	5	(22,973)	7,178	(15,795)	(23,128)	6,808	(16,320)
Re-measurement and settlement of energy contracts #	7	–	(3,673)	(3,673)	–	(2,931)	(2,931)
Gross profit/(loss)		3,852	(646)	3,206	4,253	(200)	4,053
Operating costs before exceptional items and credit losses on financial assets	5	(2,742)	–	(2,742)	(2,721)	–	(2,721)
Credit losses on financial assets	5, 17	(197)	–	(197)	(143)	–	(143)
Exceptional items – (impairment)/write-back of retained exploration and production assets	7	–	(476)	(476)	–	90	90
Exceptional items – impairment of power assets and provision for onerous power contracts	7	–	(381)	(381)	–	(46)	(46)
Exceptional items – impairment of Centrica Home Solutions	7	–	(77)	(77)	–	–	–
Exceptional items – net gain/(loss) on disposal #	7	–	35	35	–	(16)	(16)
Exceptional items – net pension change credit/(cost)	7	–	152	152	–	(41)	(41)
Exceptional items – restructuring costs	7	–	(356)	(356)	–	(170)	(170)
Operating costs	5	(2,939)	(1,103)	(4,042)	(2,864)	(183)	(3,047)
Share of (losses)/profits of joint ventures and associates, net of interest and taxation	6, 7	(12)	(1)	(13)	3	(22)	(19)
Group operating profit/(loss)	4	901	(1,750)	(849)	1,392	(405)	987
Net finance cost	7, 8	(255)	–	(255)	(273)	(139)	(412)
Profit/(loss) before taxation		646	(1,750)	(1,104)	1,119	(544)	575
Taxation on profit/(loss)	7, 9	(218)	219	1	(461)	128	(333)
Profit/(loss) for the year		428	(1,531)	(1,103)	658	(416)	242
Attributable to:							
Owners of the parent		419	(1,442)	(1,023)	631	(448)	183
Non-controlling interests		9	(89)	(80)	27	32	59
Earnings per ordinary share				Pence			Pence
Basic	10			(17.8)			3.3
Diluted	10			(17.8)			3.2
Interim dividend paid per ordinary share	11			1.50			3.60
Final dividend proposed per ordinary share	11			3.50			8.40

Group Statement of Comprehensive Income

Year ended 31 December	Notes	2019 £m	2018 £m
(Loss)/profit for the year		(1,103)	242
Other comprehensive (loss)/income			
Items that will be or have been reclassified to the Group Income Statement:			
Impact of cash flow hedging (net of taxation)	S4	(4)	10
Exchange differences on translation of foreign operations		(126)	106
Exchange differences reclassified to Group Income Statement on disposal	12	(18)	–
Items that will not be reclassified to the Group Income Statement:			
Net actuarial (losses)/gains on defined benefit pension schemes (net of taxation)	S4	(387)	657
Gains/(losses) on revaluation of equity instruments measured at fair value through other comprehensive income (net of taxation)		2	(1)
Share of other comprehensive income/(loss) of joint ventures and associates, net of taxation	14, S4	29	(1)
Other comprehensive (loss)/income, net of taxation		(504)	771
Total comprehensive (loss)/income for the year		(1,607)	1,013
Attributable to:			
Owners of the parent		(1,511)	953
Non-controlling interests	S11	(96)	60

Group Cash Flow Statement

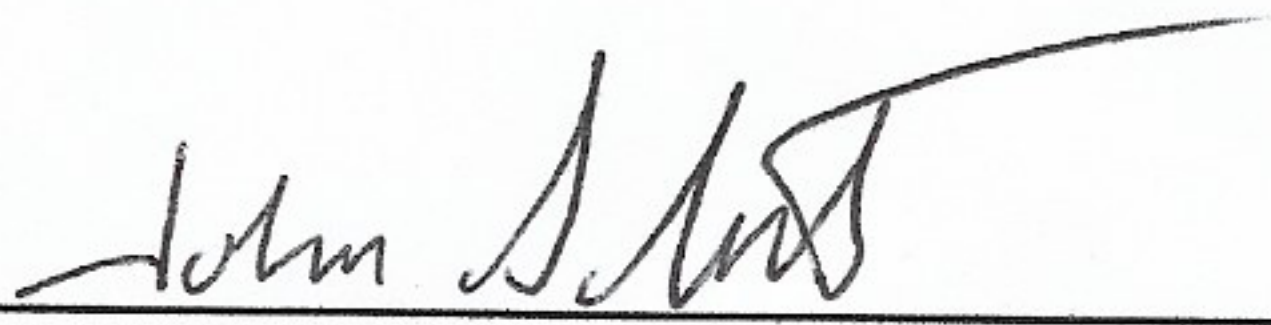
Year ended 31 December	Notes	2019 £m	2018 £m
Group operating (loss)/profit including share of results of joint ventures and associates		(849)	987
Add back share of losses of joint ventures and associates, net of interest and taxation	6	13	19
Group operating (loss)/profit before share of results of joint ventures and associates		(836)	1,006
Add back/(deduct):			
Depreciation, amortisation, write-downs, impairments and write-backs		2,299	1,019
Profit on disposals		(159)	(13)
Decrease in provisions		–	(29)
Cash contributions to defined benefit schemes in excess of service cost income statement charge		(493)	(34)
Employee share scheme costs	S4	41	43
Unrealised losses arising from re-measurement of energy contracts		432	241
Exceptional charges reflected directly in operating profit		237	56
Operating cash flows before movements in working capital relating to business performance and payments relating to taxes and exceptional charges		1,521	2,289
Increase in inventories		(14)	(43)
Decrease/(increase) in trade and other receivables and contract-related assets relating to business performance		518	(834)
(Decrease)/increase in trade and other payables and contract-related liabilities relating to business performance		(385)	831
Operating cash flows before payments relating to taxes and exceptional charges		1,640	2,243
Taxes paid	9	(92)	(61)
Payments relating to exceptional charges in operating costs		(298)	(248)
Net cash flow from operating activities		1,250	1,934
Purchase of businesses, net of cash acquired		(30)	(85)
Sale of businesses		236	20
Purchase of property, plant and equipment and intangible assets	4	(781)	(926)
Sale of property, plant and equipment and intangible assets		8	26
Investments in joint ventures and associates		(1)	(3)
Dividends received from joint ventures and associates	14	1	22
Receipt of sub-lease capital payments	24	3	–
Interest received		11	15
Sale/(purchase) of securities	24	50	(76)
Net cash flow from investing activities		(503)	(1,007)
Payments for own shares	S4	–	(11)
Proceeds from sale of forfeited share capital		2	–
Distribution to non-controlling interests		(124)	–
Financing interest paid	24	(243)	(305)
Repayment of borrowings and capital element of leases	24	(241)	(1,673)
Equity dividends paid		(471)	(551)
Net cash flow from financing activities		(1,077)	(2,540)
Net decrease in cash and cash equivalents		(330)	(1,613)
Cash and cash equivalents including overdrafts at 1 January		1,128	2,737
Effect of foreign exchange rate changes		(4)	4
Cash and cash equivalents including overdrafts at 31 December	24	794	1,128
Included in the following line of the Group Balance Sheet:			
Cash and cash equivalents		1,342	1,268
Overdrafts included within current bank overdrafts, loans and other borrowings		(548)	(140)

Attestation and Signature

I, John Schultz, Co-President of, Direct Energy Services, LLC, hereby affirm that I have the authority to file this application on behalf of Direct Energy Services, LLC.

I also attest that the contents of the application are truthful, accurate and complete to the best of my knowledge.

Signature:



John Schultz
Co-President – Direct Energy Services, LLC

Date:

11/30/2020